

Welcome



HRC Board Meeting

November 16, 2021





NOVEMBER MEETING OF THE BOARD OF TRUSTEES

TUESDAY, November 16, 2021 @ 6:30 p.m.

Via ZOOM Webinar

https://us06web.zoom.us/webinar/register/WN_kUyKilHWRDOdSjQ1JmiDyw

A G E N D A

- 1. CALL TO ORDER & INTRODUCTIONS.....CHRISTOPHER PATAY, President**
- 2. MINUTESRON BERGMANN, Secretary
OF THE SEPTEMBER 21, 2021 MEETING**
- 3. TREASURER'S REPORT.....FU-TIEN CHIOU, Treasurer**
- 4. EXECUTIVE REPORT*.....PATRICK RUPPE, Executive Director**
 - Presentation of 2021 Performance Plan www.harborrc.org/pod/planning-2022
 - Presentation of National Core Indicators <https://www.harborrc.org/post/national-core-indicators-study>
- 5. COMMITTEE REPORTS:**
 - a) ARCA.....JOE CZARSKE, LIAISON**
 - b) AUDIT.....LAVELLE GATES, CHAIRPERSON**
 - c) BOARD DEVELOPMENTJOE CZARSKE, CHAIRPERSON**
 - d) BOARD PLANNING.....KIM VUONG, CHAIRPERSON**
 - e) CLIENT ADVISORY.DAVID GAUTHIER, CHAIRPERSON**
 - f) CLIENT SERVICES.....FU-TIEN CHIOU, CHAIRPERSON**
 - g) COMMUNITY RELATIONS.....ANN LEE, CHAIRPERSON**
 - h) RETIREMENT.....FU-TIEN CHIOU, CHAIRPERSON**
 - i) SELF-DETERMINATION.....ANTOINETTE PEREZ, LIAISON**
 - j) SERVICE PROVIDER ADVISORY.....PAUL QUIROZ, CHAIRPERSON**
- 6. PUBLIC INPUT/ANNOUNCEMENTS**
- 7. CLOSED SESSION.....CHRISTOPHER PATAY, President**
 - Labor Contract Negotiations
 - Pending Litigation
- 8. ADJOURNMENT – 8:00 p.m.**

***indicates “action”**



Ron Bergmann

MINUTES September 21, 2021



**MINUTES OF THE SEPTEMBER 21, 2021 MEETING OF THE BOARD OF TRUSTEES OF
THE HARBOR DEVELOPMENTAL DISABILITIES FOUNDATION**

<p><u>BOARD PRESENT:</u> Mr. Ron Bergmann, <i>Secretary</i> Mr. Fu-Tien Chiou, <i>Treasurer</i> Mr. Joe Czarske, Board Member Dr. James Flores, Board Member Mr. La Velle Gates, Board Member Mr. David Gauthier, Board Member Mr. Jeffrey Herrera, Board Member Ms. Ann Lee, Ph.D, <i>Vice-President</i> Mr. Christopher Patay, <i>President</i> Ms. Paul Quiroz, Board Member Ms. Jackie Solorio, Board Member Ms. Kim Vuong, Board Member</p> <p><u>BOARD ABSENT:</u> Dr. Marco Garcia, Board Member Mr. LaVelle Gates, Board Member</p> <p><u>STAFF PRESENT:</u> Mr. Patrick Ruppe, Executive Director Ms. Nancy Spiegel, Director of Information & Development Ms. Judy Wada, Chief Financial Officer Ms. Mary Hernandez, Director of Case Management Support Services Ms. Antoinette Perez, Director of Children’s Services Ms. Judy Samana Taimi, Director of Adult Services Mr. Mike Ikegami, Director of IT Ms. Jennifer Lauro, Executive Assistant Mr. Jesus Jimenez, Executive Team Assistant</p>	<p><u>STAFF ABSENT:</u> Ms. LaWanna Blair, Director of Early Childhood Services</p> <p><u>GUESTS:</u> Ms. Gloria Garcia, HRC Parent Ms. Minerva Flores, HRC Parent Ms. Eva Alva, HRC Parent Ms. Martha DeLaTorre, HRC Parent Ms. Mayra Jiminez, HRC Parent Ms. Ivon Muniz, HRC Parent Ms. Maria Lacaba, HRC Parent Ms. Amelia Castellanos, HRC Parent Ms. Maria Zavala, HRC Parent Ms. Martha Gascon, HRC Parent Ms. Maria Chavez, HRC Parent Ms. Angela Robles, HRC Parent Ms. Monica Joya, HRC Parent Ms. Perla Mendoza, HRC Parent Ms. Maria De La Luz Zinzun, HRC Parent Ms. Marbella Carrillo, HRC Parent Ms. Teresa Hdez, HRC Parent Ms. Guadalupe Nolasco, HRC Parent Ms. Thao Mailloux Ms. Jaime Van Dusen, DDS</p>
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CALL TO ORDER

Mr. Patay called the Board to order at 6:30 p.m.

PRESIDENT’S REPORT

Mr. Patay welcomed Board members, guest and staff and took roll call of Board Members and HRC Staff. A quorum was established.

Mr. Patay reviewed with visitors the various zoom instructions and how to use the interpretation feature.

Mr. Patay encouraged all visitors who wish to address the Board at the end of our meeting during the time we have set aside for public comment to please make a request through the zoom chat feature.

Mr. Patay reminded the Board Members that our annual Board Retreat is scheduled for Saturday, October 9, 2021 and will take place at HRC in conference rooms A1 and 2 beginning at 9:00 am and will be followed by a recognition dinner.

Mr. Patay advised that we will now proceed with our regular agenda.

PRESENTATION OF MINUTES

Mr. Bergmann presented the draft minutes of the July 20, 2021 meeting of our Board which were included in the board packet provided to all Board members and posted for the general public on the HRC website.

The MINUTES OF THE JULY 20, 2021 BOARD MEETING were received and filed.

PRESENTATION OF FINANCIALS

Mr. Chiou reviewed the following financial statements, which were received and filed:

- Harbor Regional Center Monthly Financial Report Fiscal Year 2020-21, dated June 2021
- Harbor Regional Center Functional Expenditures, dated June 2021
- Harbor Regional Center Line Item Report, dated June 2021
- Harbor Regional Center POS Contract Summary, June 2021
- Harbor Regional Center Monthly Financial Report Fiscal Year 2020-21, dated July 2021
- Harbor Regional Center Functional Expenditures, dated July 2021
- Harbor Regional Center Line Item Report, dated July 2021
- Harbor Regional Center POS Contract Summary, dated July 2021
- Harbor Developmental Disabilities Foundation Harbor Help Fund Statement of Activities Fiscal Year 2020-21

EXECUTIVE REPORT

1. HRC DEPARTMENT OF COMMUNITY SERVICES:

Mr. Ruppe announced the appointment of Ms. Heather Diaz to the position of Director of Community Services at Harbor Regional Center and informed the Board of Ms. Diaz's many accomplishments and experiences, specifically her substantial Regional Center experience working at San Gabriel Pomona Regional Center (SGPRC). Mr. Ruppe asked the Board to welcome a Regional Center veteran and skilled manager into this important role at Harbor Regional Center and personally welcomed her as part of our senior management team at HRC!

2. COVID 19 TRENDS:

Mr. Ruppe updated the Board on the latest COVID 19 trends and vaccination statistics, specifically how Harbor Regional Center currently has a 67% vaccination rate of staff through September 10, 2021. Mr. Ruppe referred the Board to Los Angeles County Department of Public Health's (LACODPH) issue and order provided in the board packet, indicating that health care providers are required to be vaccinated, which includes Regional Center staff who will, as of September 14, 2021, be required to provide proof of vaccination or submit a medical or religious exemption. Mr. Ruppe stated that for HRC staff who submit a medical or religious exemption will be required to get a weekly Covid test, which Harbor Regional Center will provide onsite and staff have been offered great incentives to get vaccinated.

3. BUDGET UPDATE:

Mr. Ruppe summarized the C-1 Allocation, provided in the board packet that was distributed to all Regional Centers on September 9, 2021, and indicated the importance of the C-1 because it will allow for the hiring of new positions we will need to fill in the next fiscal year.

4. CONTRACTS – PURCHASE OF SERVICE (UNIVERSITY OF NEW HAMPSHIRE INSTITUTE ON DISABILITY):

Mr. Ruppe advised that the Lanterman Act requires any regional center contract which exceeds \$250,000 be approved by the regional center board. The University of New Hampshire Institute on Disability will provide consultation services to Harbor Regional Center Staff to aide in the development of a START program. START Crisis Intervention Services will be available as needed for all Harbor

Regional Center Clients. The START model has shown demonstrated reductions in emergency services utilization and clinical mental health symptoms, as well as increases in well-being and satisfaction with the mental health system of support. Services are provided across different systems: home, school, work, medical, mental health and developmental disability systems.

Description of Services: Consultation Services to develop a START (Systemic, Therapeutic, Assessment, Resources and Treatment) crisis intervention program in Harbor Regional Center's service area.

Start-up funds: \$835,020.00

Mr. Chiou moved to approve the Purchase of Service Contract for Consultant Services with The New Hampshire Institute on Disability, in the start-up funds of \$835,020.00 and Mr. Bergmann seconded the motion which was unanimously approved by the Board, with no opposition or abstentions.

5. CONTRACTS – PURCHASE OF SERVICE (D'ESCOTO WEST):

Mr. Ruppe advised that the Lanterman Act requires any regional center contract which exceeds \$250,000 be approved by the regional center board. Harbor Regional Center plans to remodel the lobby located on the first floor of 21231 Hawthorne Boulevard.

Description of Services: This is a tenant improvement of 2,600 square feet consisting of a full renovation of the existing lobby and receptionist area providing enhanced visibility and security, the addition of a new entry and ADA accessible ramp from the parking lot and new finishes for either (8) consultation rooms and one (1) conference room. Security enhancements include key card access to assessment/conference room area and increased points of egress for staff and visitors. Exterior improvements will include new indirect lighting within the courtyard and new exterior lighting in the parking area for illumination and security. Signage will also be included for wayfinding within the building and to the newly reconfigured entry.

Initial Estimate Amount: \$507,000.00 (hard costs), \$42,900.00 (soft costs) = Total \$549,900.00

Dr. Lee moved to approve the Purchase of Service Contract for Professional Services with D'Escoto West in the initial estimate amount of \$549,000.00 and Mr. Herrera seconded the motion which was unanimously approved by the Board, with no opposition. Mr. Quiroz abstained.

6. HOLIDAY GIVING:

Mr. Ruppe announced that HRC is beginning our campaign to provide assistance to needy families during the holidays. Mr. Ruppe asked the Board to consider a gift from the Harbor Help Fund in the amount of \$60,000.00 with which we will purchase gift cards for distribution to our neediest families. Mr. Ruppe thanked the Board in advance for their continued support of our holiday giving campaign.

Ms. Vuong moved to approve a donation of \$60,000.00 from the Harbor Help Fund for the purchase of gift cards for HRC's holiday giving campaign, and Dr. Flores seconded the motion which was unanimously approved by the Board, with no opposition or abstentions.

7. CALIFORNIA/DDS – MEDI-CAL PROVIDER ENROLLMENT:

Mr. Ruppe called the Board's attention to a DDS memorandum dated December 4, 2019 addressed to Regional Center Executive Directors advising that all regional centers would be required to enroll as MediCal providers by December 31, 2019. As of this date, Mr. Ruppe advised that all of our board of trustees completed their applications as per this requirement. Per a new DDS memorandum related to this requirement, regional centers are now required to submit updated applications anytime there is

a change in Executive Director, or Managing Employees or Board Members; thus Mr. Ruppe informed that Ms. Wada will work with any new board members to ensure any new applications are completed and submitted to DDS in a timely manner.

8. CALIFORNIA/DDS – 2021 EARLY START AUDIT:

Mr. Ruppe shared with the Board that DDS completed their early start monitoring review of Harbor Regional Center. DDS reported that we need to improve in areas as follows: assessment of needs, timeliness of the provision of services and Individual Family Service Plan (IFSP) service documentation. Mr. Ruppe then informed that DDS reported on how HRC has since made significant improvements in areas over the prior monitoring review and thanked Ms. LaWanna Blair, Director of Early Childhood Department and her team and stated that DDS asked to share HRC's plans with other regional centers who need assistance in improving their performance.

9. CALIFORNIA/DDS – 2021 CASELOAD RATION REPORT:

Mr. Ruppe advised the Board how regional centers are required to annually report to DDS client to service coordinator ratios per Welfare and Institutions Code Section 4640.6 and provided the Board with an update of HRC's caseload ratio status, including our plan of correction.

10. PERFORMANCE CONTRACT status:

Mr. Ruppe informed that per Welfare and Institutions Code Section 4629, Harbor Regional Center held a public meeting via zoom webinar on September 8, 2021 to present our draft 2022 performance plan. The presentations were available in English and Spanish and posted on our website: www.harborrc.org/pod/planning-2022. Harbor Regional Center will make a presentation to our Board at our next public Board meeting on November 16, 2021.

11. NATIONAL CORE INDICATORS status:

Mr. Ruppe informed that per Welfare and Institutions Code Section 4571, Harbor Regional Center is required to post the latest National Core Indicators (NCI) survey results (The Child Family Survey, dated 2018-19 report) on our website: <https://www.harborrc.org/post/national-core-indicators-study> and also make a presentation to our Board of Trustees that will occur at our next public Board meeting on November 16, 2021.

COMMITTEE REPORTS

A. ARCA

Mr. Czarske reported that ARCA met in August and discussion focused primarily on the development of a START (Systemic, Therapeutic, Assessment, Resources and Treatment) crisis intervention program in Harbor Regional Center's service area through The University of New Hampshire Institute on Disability.

B. AUDIT

In Mr. Gate's absence, Ms. Wada informed that we are beginning to work with AGT on the audit for FY 2020-21 and this will be the third year with AGT. HRC staff are providing preliminary documents for them to start work on our annual report. The audit fieldwork will take place at the end of September and will be conducted both onsite and remotely this year.

C. BOARD DEVELOPMENT

Mr. Bergmann informed that the Board Development Committee met on September 8th via zoom and referred the Board to Mr. Ruppe who reported that due to the resignation of a Trustee, the Board Development Committee reviewed applications on file to fill the seat. Mr. Ruppe announced that after interviewing well-qualified candidates, the Board Development Committee recommended Ms. Patricia Jordan to serve on the board; if elected, Ms. Jordan's term of service will be through June 30, 2023. Mr. Ruppe asked the Board for a vote.

Mr. Gauthier moved to elect Ms. Patricia Jordan to the Board of Trustees and Mr. Czarske seconded the motion, which was unanimously approved by the Board.

D. BOARD PLANNING

Ms. Spiegel informed that the Board Planning Committee met on September 8, 2021 and participated in a public meeting regarding the Harbor Regional Center Performance Plan, “What’s Happening at Harbor Regional Center”. A presentation was provided to the community about regional center services and supports, including budget information, activity highlights for 2021, how these activities relate to our performance goals, our baseline performance thus far in 2021, and our performance goals for 2022. Committee members heard input on regional center services and supports, performance objections and planned activities from community participants.

E. CLIENT ADVISORY

Mr. Gauthier did not attend the August 14, 2021 Client Advisory Committee meeting and thus referred to Ms. Taimi, Director of Adult Services to report on the meeting. Ms. Taimi summarized the topics of the meeting, which primarily focused on updates of the Self-Determination Program.

F. CLIENT SERVICES

Mr. Chiou informed that the Client Services Committee met on July 27, 2021 and summarized the topics of the meeting, which primarily focused on provisional eligibility, and the restoration of camp and social recreation services. Mr. Chiou also reported how the Committee was updated on the various programs offered from our Family Resource Center and around the creation of an advocacy support group/workshop for young adults.

G. COMMUNITY RELATIONS

Ms. Spiegel informed that the Community Relations Committee met on September 15, 2021 and reviewed the input from the community and other input on the proposed Performance Plan, which is to be presented at the November 16, 2021 regular business Board meeting.

H. RETIREMENT

Mr. Chiou reported on the Harbor Regional Center Retirement Plan Balances as of June 30, 2021.

I. SELF-DETERMINATION ADVISORY

Ms. Perez advised the Board that the Self-Determination Advisory continues to meet monthly via zoom and provided an update on the July and August meetings.

J. SERVICE PROVIDER ADVISORY

Mr. Quiroz reported that the Service Provider Advisory Committee met on August 3, 2021 via zoom and were provided information on the following topics: update on new key HRC staff, budget and rates, state budget FY 2021-22 highlights, alternative service model (ASDM), HCBS spending plan (American Rescue Plan Act), and provider rate increases: rate study background and rate implementation increments.

PUBLIC COMMENT

Mr. Patay advised that public input was next on the agenda. Mr. Patay stated that he will call upon each person who has asked to address the Board and requested that he or she limit their comments to two minutes in order to accommodate everyone.

Mr. Patay indicated that we had three (3) attendees request to address the Board through the Zoom Chat this evening.

EXECUTIVE SESSION

Mr. Patay advised that there will be no executive session tonight.

ADJOURNMENT (8:04 pm)

Mr. Patay thanked all those who participated in our Board meeting tonight. Mr. Patay reminded Board members that our next regular business meeting will be on November 16, 2021 via zoom webinar.

Submitted by: _____

Ron Bergmann, Secretary
Board of Trustees
Harbor Developmental Disabilities Foundation

DRAFT



Fu-Tien Chiou

FINANCIAL STATEMENTS

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2021-22
Aug-21**

	C-1 Allocation	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits	\$30,993,891	\$2,106,159	\$5,030,310	\$30,993,891	\$0
Operating Expenses	\$8,946,957	\$582,971	\$1,649,935	\$8,946,957	\$0
less other income	<u>(\$279,482)</u>	<u>(\$18,929)</u>	<u>(\$62,192)</u>	<u>(\$279,482)</u>	<u>\$0</u>
Total Operations	\$39,661,366	\$2,670,201	\$6,618,052	\$39,661,366	\$0
Purchase of Service					
Regular*	\$286,165,107	\$18,625,963	\$34,015,129	\$283,967,340	\$2,197,767
Compliance with HCBS Regulations	\$0	\$0	\$0	\$0	\$0
less other income	<u>(\$2,865,353)</u>	<u>(\$212,042)</u>	<u>(\$424,403)</u>	<u>(\$2,865,353)</u>	<u>\$0</u>
Subtotal Regular	\$283,299,754	\$18,413,921	\$33,590,726	\$281,101,987	\$2,197,767
CPP/CDRP**	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$1,135,020</u>	<u>(\$1,135,020)</u>
Total Purchase of Service	\$283,299,754	\$18,413,921	\$33,590,726	\$282,237,007	\$1,062,747
TOTAL	\$322,961,120	\$21,084,122	\$40,208,778	\$321,898,373	\$1,062,747
% of Budget	100.00%	6.53%	12.45%	99.67%	

* The letter of intent for the C-1 amendment was received from DDS on September 8, 2021. The allocation for Regular Operations was increased from FY 2020-21 to FY 2021-22 by 11.8%. The allocation for Regular Purchase of Service (POS) was increased by 11.1%.

The initial POS Expenditure Projection (PEP) for FY 2021-22 will be based on October 2021 year-to-date actuals and is due to DDS in December 2021.

** On August 31, 2021, HRC was informed by DDS that the Department is approving two CPP Start-up projects. DDS authorizes up to \$300,000 for the development of a Crisis Support Service called "START Team" and up to \$835,020 for The Center for Start Services to support the development of a Crisis Support Services Program. The allocation is still pending.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENDITURES
Aug-21**

	C-1 Allocation	Purchase of Service Month Y-T-D		Salaries & Benefits Month Y-T-D		Operating Expenses Month Y-T-D		Total Expended Month Y-T-D	
PROGRAM SERVICES									
Intake	6,734,027			355,098	848,110	98,289	278,179	453,387	1,126,289
Case Management	25,905,634			1,366,055	3,262,659	378,115	1,070,148	1,744,170	4,332,807
Program Development	886,687			46,757	111,673	12,942	36,629	59,699	148,301
Other Client Services	2,017,013			106,361	254,031	29,440	83,322	135,801	337,352
Out-of-Home Living *	116,770,555	8,224,703	15,659,826					8,224,703	15,659,826
Day Programs	85,125,421	6,267,411	11,514,466					6,267,411	11,514,466
Transportation	9,608,747	611,513	1,132,934					611,513	1,132,934
Other Services	53,799,641	3,223,525	5,299,793					3,223,525	5,299,793
COVID-19 Related	20,860,743	298,811	408,109					298,811	408,109
CPP/CDRP, Other	0	0	0					0	0
Total Program Services	321,708,468	18,625,963	34,015,129	1,874,271	4,476,473	518,786	1,468,277	21,019,020	39,959,879
SUPPORTING SERVICES									
Administration	4,397,487			231,888	553,837	64,185	181,658	296,073	735,495
SUBTOTAL	326,105,955	18,625,963	34,015,129	2,106,159	5,030,310	582,971	1,649,935	21,315,093	40,695,374
Revenue	(3,144,835)							(230,971)	(486,595)
TOTAL	322,961,120							21,084,122	40,208,778

*Net of Client Support

HARBOR REGIONAL CENTER
LINE ITEM REPORT
Aug-21

	FY 2021-22	Net Expended		Projected	Proj Annual	Proj. Funds
	C-1	Month	Y-T-D	Expenses	Expenses	Available
	Allocation					
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	116,770,555	8,224,703	15,659,826	100,501,423	116,161,249	609,306
430** Day Programs	85,125,421	6,267,411	11,514,466	73,244,687	84,759,153	366,268
6505* Transportation	9,608,747	611,513	1,132,934	8,402,017	9,534,951	73,796
650** Other Services	53,799,641	3,223,525	5,299,793	47,711,732	53,011,525	788,116
Various COVID-19 Related	20,860,743	298,811	408,109	20,092,353	20,500,462	360,281
TBD HCBS Compliance	0	0	0	0	0	0
Subtotal Regular POS	286,165,107	18,625,963	34,015,129	249,952,211	283,967,340	2,197,767
Community Placement & Program Development						
32010 Start Up	0	0	0	1,135,020	1,135,020	(1,135,020)
6507* Surge Capacity Start Up	0	0	0	0	0	0
65*** Placement/Assessment	0	0	0	0	0	0
Subtotal CPP/CDRP	0	0	0	1,135,020	1,135,020	(1,135,020)
Revenue						
20090 ICF SPA Income	(2,865,353)	(212,042)	(424,403)	(2,440,950)	(2,865,353)	0
TOTAL PURCHASE OF SERVICE	283,299,754	18,413,921	33,590,726	248,646,281	282,237,007	1,062,747
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	24,099,562	1,611,415	3,738,149	20,361,413	24,099,562	0
2503- Benefits	<u>6,894,329</u>	<u>494,744</u>	<u>1,292,161</u>	<u>5,602,168</u>	<u>6,894,329</u>	<u>0</u>
Subtotal Salaries & Benefits	30,993,891	2,106,159	5,030,310	25,963,581	30,993,891	0
Operating Expenses						
30020 Equipment Maint	384,565	53	18,611	365,954	384,565	0
30030 Facility Rental	5,169,709	391,009	1,162,339	4,007,370	5,169,709	0
30035 Facility Rent Subleases	119,569	18,225	48,668	70,901	119,569	0
30040 Facility Maint	229,466	19,264	30,659	198,807	229,466	0
30050 Communication	486,507	60,547	104,273	382,234	486,507	0
30060 General Office Exp	105,564	17,031	23,608	81,956	105,564	0
30070 Printing	91,669	8,354	20,150	71,519	91,669	0
30080 Insurance	272,712	12,449	158,718	113,994	272,712	0
30090 Utilities	11,684	0	1,552	10,132	11,684	0
30110 Data Processing Maint	84,886	15,500	19,685	65,201	84,886	0
30123 Interest/Bank Expense	15,567	501	953	14,614	15,567	0
30140 Legal Fees	68,000	708	708	67,292	68,000	0
30150 Board of Dir. Exp	7,350	2,360	2,360	4,990	7,350	0
30160 Accounting Fees	61,131	0	0	61,131	61,131	0
30170 Equipment Purchases	325,404	8,585	9,439	315,965	325,404	0
30180 Contr/Consult Services	50,237	0	0	50,237	50,237	0
30184 Clinical Services	144,972	0	0	144,972	144,972	0
30185 Employee Conferences	4,244	0	0	4,244	4,244	0
30220 Travel in State	11,346	0	0	11,346	11,346	0
30223 Staff Mileage	26,625	187	187	26,438	26,625	0
30230 ARCA Dues	92,114	0	0	92,114	92,114	0
30240 General Expenses	683,636	17,951	33,970	649,666	683,636	0
30241 Diversity Funding	0	0	0	0	0	0
30247 General Exp C19 SOE	<u>500,000</u>	<u>10,248</u>	<u>14,055</u>	<u>485,945</u>	<u>500,000</u>	<u>0</u>
Subtotal Operating Expenses	8,946,957	582,971	1,649,935	7,297,022	8,946,957	0
Other Revenue						
20040 Interest Income	(104,494)	(4,145)	(10,200)	(94,294)	(104,494)	0
20050 Other Income	(6,439)	(39)	(2,278)	(4,161)	(6,439)	0
20055 Other Income-Subleases	(109,562)	(10,051)	(39,433)	(70,129)	(109,562)	0
20100 ICF SPA Admin Fee	(58,987)	(4,694)	(10,280)	(48,707)	(58,987)	0
Subtotal Other Revenue	<u>(279,482)</u>	<u>(18,929)</u>	<u>(62,192)</u>	<u>(217,290)</u>	<u>(279,482)</u>	<u>0</u>
TOTAL OPERATIONS	39,661,366	2,670,201	6,618,052	33,043,314	39,661,366	0
TOTAL	322,961,120	21,084,122	40,208,778	281,689,595	321,898,373	1,062,747
% of Budget	100.00%	6.53%	12.45%	87.22%	99.67%	0.33%

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
Aug-21**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2021-22	C-1	Reg POS	\$283,199,754	\$33,589,267	\$249,610,487	\$247,512,720	\$2,097,767
		CPP/CDRP	\$100,000	\$0	\$100,000	\$1,135,020	(\$1,035,020)
		HCBS Compliance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
		TOTAL	\$283,299,754	\$33,589,267	\$249,710,487	\$248,647,740	\$1,062,747
2020-21	B-3	Reg POS	\$251,586,681	\$241,805,314	\$9,781,367	\$2,257,455	\$7,523,912
		CPP/CDRP	\$760,969	\$118,279	\$642,690	\$642,690	\$0
		HCBS Compliance	<u>\$514,360</u>	<u>\$0</u>	<u>\$514,360</u>	<u>\$514,360</u>	<u>\$0</u>
		TOTAL	\$252,862,010	\$241,923,592	\$10,938,418	\$3,414,506	\$7,523,912
2019-20	A-7	Reg POS	\$223,767,932	\$219,664,455	\$4,103,477	\$354,827	\$3,748,650
		CPP	\$2,452,990	\$1,109,693	\$1,343,297	\$1,343,297	\$0
		HCBS Compliance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
		TOTAL	\$226,220,922	\$220,774,148	\$5,446,774	\$1,698,124	\$3,748,650

**HARBOR REGIONAL CENTER
MONTHLY FINANCIAL REPORT
FISCAL YEAR 2021-22
Sep-21**

	C-1 Allocation	Month Exp	Y-T-D Expenses	Proj. Annual Expenses*	Proj. Funds Available
Operations					
Salaries & Benefits	\$30,993,891	\$2,078,988	\$7,109,298	\$30,993,891	\$0
Operating Expenses	\$8,946,957	\$615,766	\$2,265,701	\$8,946,957	\$0
less other income	<u>(\$279,482)</u>	<u>(\$24,495)</u>	<u>(\$86,687)</u>	<u>(\$279,482)</u>	<u>\$0</u>
Total Operations	\$39,661,366	\$2,670,259	\$9,288,312	\$39,661,366	\$0
Purchase of Service					
Regular*	\$286,165,107	\$17,452,976	\$51,468,105	\$283,967,340	\$2,197,767
Compliance with HCBS Regulations	\$0	\$0	\$0	\$0	\$0
less other income	<u>(\$2,865,353)</u>	<u>(\$202,075)</u>	<u>(\$626,479)</u>	<u>(\$2,865,353)</u>	<u>\$0</u>
Subtotal Regular	\$283,299,754	\$17,250,900	\$50,841,626	\$281,101,987	\$2,197,767
CPP/CDRP**	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$1,135,020</u>	<u>(\$1,135,020)</u>
Total Purchase of Service	\$283,299,754	\$17,250,900	\$50,841,626	\$282,237,007	\$1,062,747
TOTAL	\$322,961,120	\$19,921,160	\$60,129,938	\$321,898,373	\$1,062,747
% of Budget	100.00%	6.17%	18.62%	99.67%	

* The initial POS Expenditure Projection (PEP) for FY 2021-22 will be based on October 2021 year-to-date actuals and is due to DDS in December 2021.

** On August 31, 2021, HRC was informed by DDS that the Department is approving two CPP Start-up projects. DDS authorizes up to \$300,000 for the development of a Crisis Support Service called "START Team" and up to \$835,020 for The Center for Start Services to support the development of a Crisis Support Services Program. The allocation is still pending.

**HARBOR REGIONAL CENTER
FUNCTIONAL EXPENDITURES
Sep-21**

	C-1 Allocation	Purchase of Service		Salaries & Benefits		Operating Expenses		Total Expended	
		Month	Y-T-D	Month	Y-T-D	Month	Y-T-D	Month	Y-T-D
PROGRAM SERVICES									
Intake	6,734,027			350,517	1,198,628	103,818	381,997	454,336	1,580,625
Case Management	25,905,634			1,348,432	4,611,091	399,386	1,469,534	1,747,818	6,080,624
Program Development	886,687			46,154	157,826	13,670	50,299	59,824	208,125
Other Client Services	2,017,013			104,989	359,020	31,096	114,418	136,085	473,437
Out-of-Home Living *	116,770,555	7,711,728	23,371,554					7,711,728	23,371,554
Day Programs	85,125,421	5,403,860	16,918,326					5,403,860	16,918,326
Transportation	9,608,747	681,372	1,814,306					681,372	1,814,306
Other Services	53,799,641	3,338,648	8,638,442					3,338,648	8,638,442
COVID-19 Related	20,860,743	317,368	725,477					317,368	725,477
CPP/CDRP, Other	0	0	0					0	0
Total Program Services	321,708,468	17,452,976	51,468,105	1,850,092	6,326,564	547,971	2,016,247	19,851,038	59,810,917
SUPPORTING SERVICES									
Administration	4,397,487			228,897	782,734	67,796	249,454	296,692	1,032,187
SUBTOTAL	326,105,955	17,452,976	51,468,105	2,078,988	7,109,298	615,766	2,265,701	20,147,730	60,843,104
Revenue	(3,144,835)							(226,571)	(713,166)
TOTAL	322,961,120							19,921,160	60,129,938

*Net of Client Support

HARBOR REGIONAL CENTER
LINE ITEM REPORT
Sep-21

	FY 2021-22	Net Expended		Projected	Proj Annual	Proj. Funds
	C-1	Month	Y-T-D	Expenses	Expenses	Available
	Allocation					
PURCHASE OF SERVICE						
Regular						
320** Out-of-Home	116,770,555	7,711,728	23,371,554	92,789,695	116,161,249	609,306
430** Day Programs	85,125,421	5,403,860	16,918,326	67,840,827	84,759,153	366,268
6505* Transportation	9,608,747	681,372	1,814,306	7,720,645	9,534,951	73,796
650** Other Services	53,799,641	3,338,648	8,638,442	44,373,083	53,011,525	788,116
Various COVID-19 Related	20,860,743	317,368	725,477	19,774,985	20,500,462	360,281
TBD HCBS Compliance	0	0	0	0	0	0
Subtotal Regular POS	286,165,107	17,452,976	51,468,105	232,499,235	283,967,340	2,197,767
Community Placement & Program Development						
32010 Start Up	0	0	0	1,135,020	1,135,020	(1,135,020)
6507* Surge Capacity Start Up	0	0	0	0	0	0
65*** Placement/Assessment	0	0	0	0	0	0
Subtotal CPP/CDRP	0	0	0	1,135,020	1,135,020	(1,135,020)
Revenue						
20090 ICF SPA Income	(2,865,353)	(202,075)	(626,479)	(2,238,874)	(2,865,353)	0
TOTAL PURCHASE OF SERVICE	283,299,754	17,250,900	50,841,626	231,395,381	282,237,007	1,062,747
OPERATIONS						
Salaries & Benefits						
2501- Salaries and Wages	24,099,562	1,617,032	5,355,181	18,744,381	24,099,562	0
2503- Benefits	<u>6,894,329</u>	<u>461,956</u>	<u>1,754,117</u>	<u>5,140,212</u>	<u>6,894,329</u>	<u>0</u>
Subtotal Salaries & Benefits	30,993,891	2,078,988	7,109,298	23,884,593	30,993,891	0
Operating Expenses						
30020 Equipment Maint	384,565	53,486	72,097	312,468	384,565	0
30030 Facility Rental	5,169,709	392,040	1,554,379	3,615,330	5,169,709	0
30035 Facility Rent Subleases	119,569	10,766	59,434	60,135	119,569	0
30040 Facility Maint	229,466	7,275	37,933	191,533	229,466	0
30050 Communication	486,507	21,153	125,426	361,081	486,507	0
30060 General Office Exp	105,564	16,714	40,322	65,242	105,564	0
30070 Printing	91,669	21,277	41,428	50,241	91,669	0
30080 Insurance	272,712	12,449	171,167	101,545	272,712	0
30090 Utilities	11,684	3,352	4,904	6,780	11,684	0
30110 Data Processing Maint	84,886	6,296	25,981	58,905	84,886	0
30123 Interest/Bank Expense	15,567	(46)	907	14,660	15,567	0
30140 Legal Fees	68,000	3,001	3,709	64,291	68,000	0
30150 Board of Dir. Exp	7,350	527	2,887	4,463	7,350	0
30160 Accounting Fees	61,131	5,500	5,500	55,631	61,131	0
30170 Equipment Purchases	325,404	1,218	10,657	314,747	325,404	0
30180 Contr/Consult Services	50,237	0	0	50,237	50,237	0
30184 Clinical Services	144,972	7,632	7,632	137,340	144,972	0
30185 Employee Conferences	9,244	4,711	4,711	4,533	9,244	0
30220 Travel in State	11,346	0	0	11,346	11,346	0
30223 Staff Mileage	26,625	610	797	25,828	26,625	0
30230 ARCA Dues	92,114	0	0	92,114	92,114	0
30240 General Expenses	678,636	29,899	63,869	614,767	678,636	0
30241 Diversity Funding	0	0	0	0	0	0
30247 General Exp C19 SOE	<u>500,000</u>	<u>13,907</u>	<u>27,962</u>	<u>472,038</u>	<u>500,000</u>	<u>0</u>
Subtotal Operating Expenses	8,946,957	615,766	2,265,701	6,681,256	8,946,957	0
Other Revenue						
20040 Interest Income	(104,494)	(2,385)	(12,586)	(91,908)	(104,494)	0
20050 Other Income	(6,439)	(30)	(2,308)	(4,131)	(6,439)	0
20055 Other Income-Subleases	(109,562)	(20,000)	(59,434)	(50,128)	(109,562)	0
20100 ICF SPA Admin Fee	(58,987)	(2,080)	(12,360)	(46,627)	(58,987)	0
Subtotal Other Revenue	<u>(279,482)</u>	<u>(24,495)</u>	<u>(86,687)</u>	<u>(192,795)</u>	<u>(279,482)</u>	<u>0</u>
TOTAL OPERATIONS	39,661,366	2,670,259	9,288,312	30,373,054	39,661,366	0
TOTAL	322,961,120	19,921,160	60,129,938	261,768,435	321,898,373	1,062,747
% of Budget	100.00%	6.17%	18.62%	81.05%	99.67%	0.33%

**HARBOR REGIONAL CENTER
POS CONTRACT SUMMARY
Sep-21**

Fiscal Year	Contract	Fund	POS Budget	POS Claimed	Current Balance/ (Deficit)	Projected Expenses	Projected Balance/ (Deficit)
2021-22	C-1	Reg POS	\$283,199,754	\$50,841,626	\$232,358,128	\$230,260,361	\$2,097,767
		CPP/CDRP	\$100,000	\$0	\$100,000	\$1,135,020	(\$1,035,020)
		HCBS Compliance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
		TOTAL	\$283,299,754	\$50,841,626	\$232,458,128	\$231,395,381	\$1,062,747
2020-21	B-3	Reg POS	\$251,586,681	\$242,417,584	\$9,169,097	\$1,645,185	\$7,523,912
		CPP/CDRP	\$760,969	\$234,996	\$525,973	\$525,973	\$0
		HCBS Compliance	<u>\$514,360</u>	<u>\$0</u>	<u>\$514,360</u>	<u>\$514,360</u>	<u>\$0</u>
		TOTAL	\$252,862,010	\$242,652,580	\$10,209,430	\$2,685,518	\$7,523,912
2019-20	A-7	Reg POS	\$223,767,932	\$219,670,285	\$4,097,647	\$304,827	\$3,792,820
		CPP	\$2,452,990	\$1,109,693	\$1,343,297	\$1,343,297	\$0
		HCBS Compliance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>
		TOTAL	\$226,220,922	\$220,779,978	\$5,440,944	\$1,648,124	\$3,792,820

**Harbor Developmental Disabilities Foundation
Harbor Help Fund**

**Statement of Activities
Fiscal Year 2021-22**

	FY 2019-20 TOTAL	FY 2020-21 TOTAL	FY 2021-22	
			Qtr Ending Sept 30, 2021	FY 2021-22 YTD TOTAL
Income				
Donations				
Employee Donations	\$ 11,588	\$ 11,091	\$ 3,418	\$ 3,418
Employee Donations - masks	4,330	480	-	-
Gift cards - in kind	4,225	-	-	-
General Donations	12,080	11,660	5,635	5,635
Staff Appreciation Day	5,000	-	-	-
Holiday Donations	26,570	61,423	5,000	5,000
Needy Families Campaign	27,390	12,993	-	-
Total Donations	91,183	97,646	14,053	14,053
Interest	4,048	351	110	110
Total Income	95,231	97,997	14,163	14,163
Expenses				
Holiday Giving Campaign	39,997	487	-	-
Needy Families - Gift cards	17,525	83,071	11,947	11,947
Mask purchase	1,500	-	-	-
Grants to Clients	1,000	-	-	-
Total Expenses	60,022	83,558	11,947	11,947
Net Increase/(Decrease)	\$ 35,210	\$ 14,439	\$ 2,215	\$ 2,215
Beginning Balance	\$ 185,565	\$ 220,774	\$ 235,214	\$ 235,214
Income	95,231	97,997	14,163	14,163
Expenses	60,022	83,558	11,947	11,947
Ending Balance	\$ 220,774	\$ 235,214	\$ 237,429	\$ 237,429
Ending Balance Detail				
Cash	\$ 94,285	\$ 102,847	\$ 85,219	\$ 85,219
CD	100,939	100,342	100,185	100,185
Gift card inventory	25,200	31,675	51,675	51,675 ^A
Receivables	350	350	350	350
Total Balance	\$ 220,774	\$ 235,214	\$ 237,429	\$ 237,429

^A Needy Families Campaign includes gift cards purchased but not yet distributed.



Patrick Ruppe

EXECUTIVE REPORT

November 16, 2021



HRC DIRECTOR of **INFORMATION AND DEVELOPMENT**

It is my pleasure to announce the hiring of Thao Mailloux as our new Director of Information and Development. Thao is a Licensed Clinical Social Worker with over 15 years of experience in social services and developmental disabilities.

Thao began her career working with at risk youth as a social worker at DCFS. Thao then moved to the Orange County Department of Education where she gained experience in fundraising and writing grant proposals. Thao then joined the regional center system working first as a Lanterman Service Coordinator and as a Training and Organizational Specialist. Her background includes oversight and implementation of staff and community training protocols, creating and coordinating trainings, management of annual holiday giving campaigns, which included significant fundraising and logistical management duties.

Thao also served as the liaison to the state wide Training and Information Group that coordinates trainings for the entire regional center system.

Thao joined HRC on November 1st and will work side by side with our current Director of Information and Development, Nancy Spiegel until her retirement in January 2022, to ensure a smooth transition into the position. Please join me in welcoming our newest director to the HRC family!

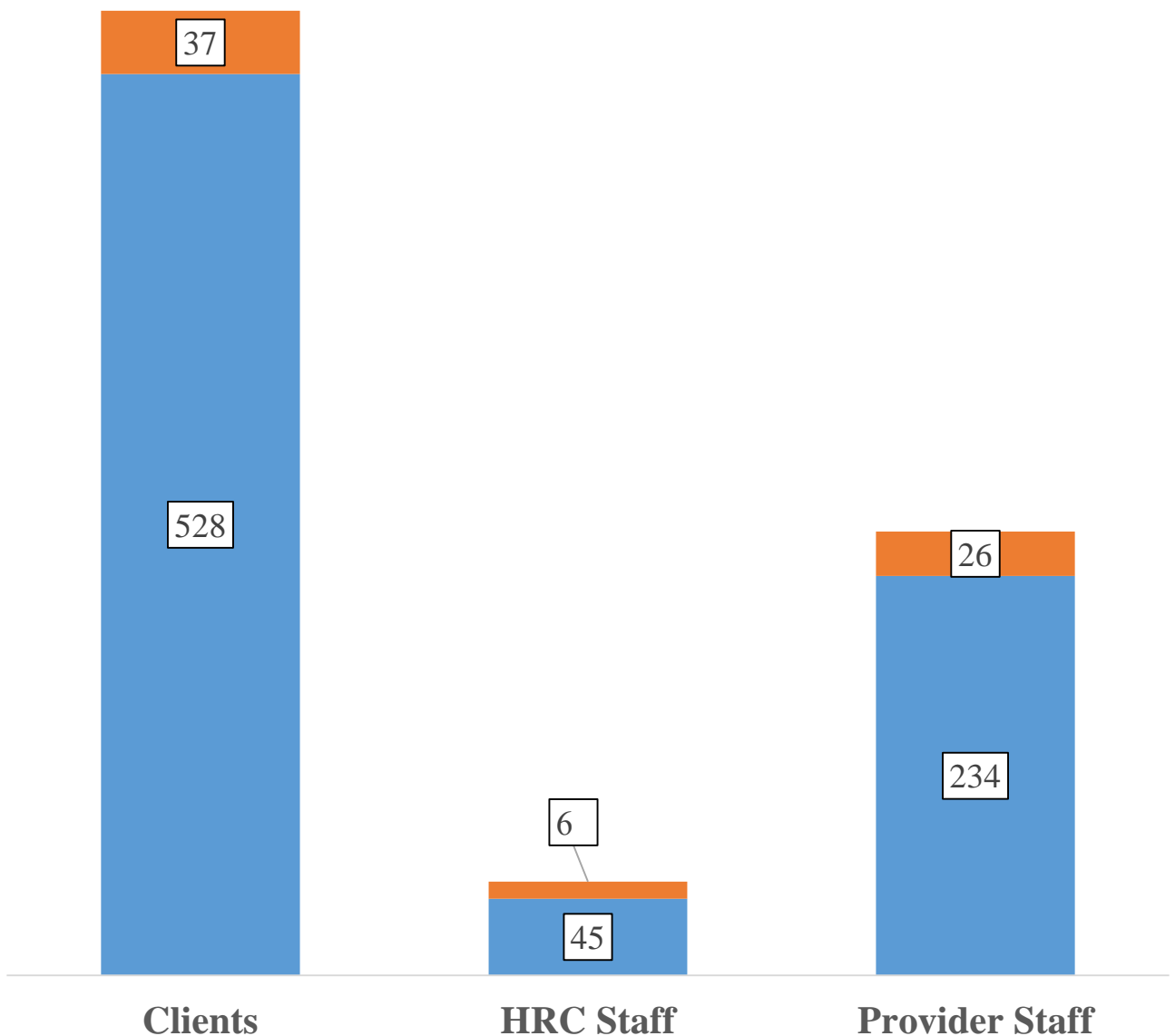
COVID 19 TRENDS

Regional Center	COVID-19 Positive Consumers		Hospitalizations of COVID-19 Positive		Deaths of COVID-19 Positive		Total Consumers
	Monthly Cases	Cumulative Total	Monthly Cases	Cumulative Total	Monthly Cases	Cumulative Total	
ACRC	118	1,025	2	12	0	36	26,668
CVRC	159	1,393	20	24	3	62	22,561
ELARC	22	899	1	30	1	40	12,938
FDLRC	26	750	1	31	1	23	11,119
FNRC	74	442	2	11	1	20	8,313
GGRC	8	377	2	24	0	17	9,745
HRC	21	565	1	1	0	27	15,690
IRC	93	2,364	3	98	4	98	39,601
KRC	76	629	2	23	2	32	10,835
NBRC	37	437	0	26	0	9	9,374
NLACRC	41	1,313	0	9	0	61	28,026
RCEB	58	741	2	61	0	16	22,628
RCOC	28	1,203	2	41	2	69	23,134
RCRC	36	150	3	9	0	4	4,134
SARC	28	937	3	8	0	28	17,751
SCLARC	47	1,330	3	13	0	42	18,346
SDRC	136	1,853	5	98	0	44	33,452
SGPRC	33	1,249	4	54	1	59	14,148
TCRC	49	1,000	1	4	1	35	15,915
VMRC	76	852	2	55	0	50	16,184
WRC	27	520	1	28	0	17	9,245
Statewide	1,193	20,029	60	660	16	789	369,807

COVID 19 TRENDS

➤ Harbor Regional Center (through September 30, 2021)

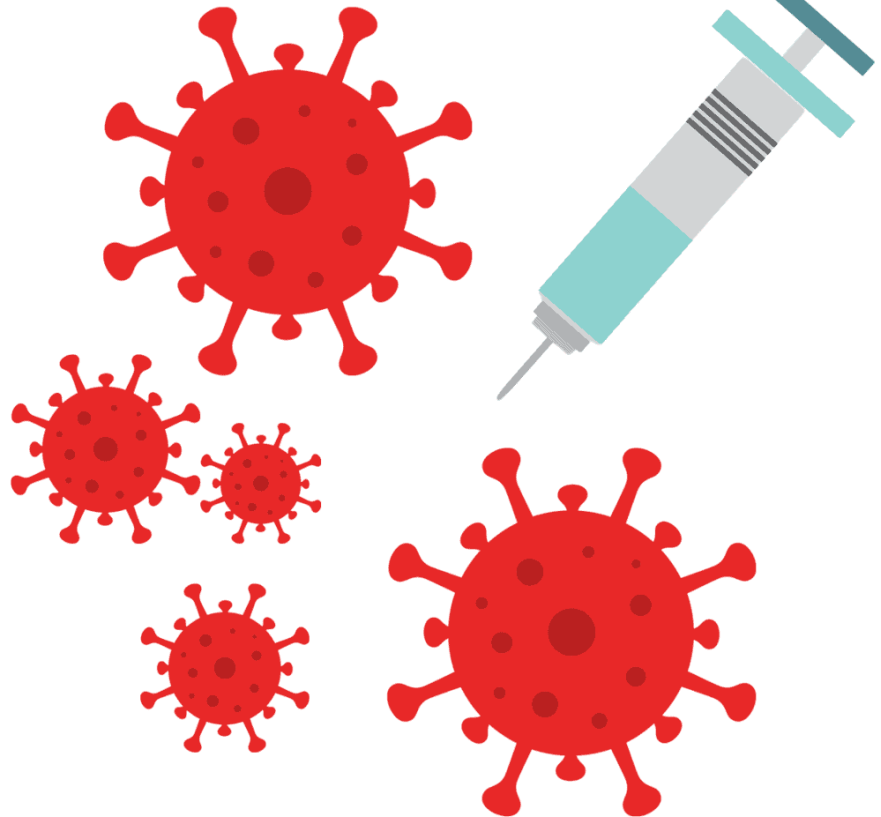
■ April 2020- June 2021 ■ Since July 1, 2021



COVID 19 TRENDS

➤ Vaccination Updates

- ✓ State and Local Vaccine Mandates
 - ❖ Religious and medical exemptions are permitted
- ✓ HRC is 100% in compliance with both local and state vaccination mandates



STATE UPDATES

➤ Required Policies

✓ Board Governance
❖ April 2022

✓ Social/Recreational:
❖ December 2021

➤ Major Initiatives Update



Policy	Status	Next Steps	Harbor Regional Center Status
Provisional Eligibility	<ul style="list-style-type: none"> Initial DDS Directive issued 8/5/21 Funds allocated in C-1 Unanswered questions list compiled from regional enter intake, clinical, and service coordination staff and shared with DDS. 	<ul style="list-style-type: none"> Meeting to be scheduled after completion of responses to questions posed Work with DDS and regional centers to capture the volume of clinical work associated with this policy and explore its financing 	<ul style="list-style-type: none"> SC positions are posted on HRC's Website (3). Status Code is in use: 30 clients determined provisionally eligible through 10/31.
Self-Determination Program (SDP)	<ul style="list-style-type: none"> DDS established the Office of the SDP Ombudsperson Continued participation in DDS focus group discussions regarding program rules and implementation 67% of available funding for Participant Choice Specialists allocated in C-1 	<ul style="list-style-type: none"> Continued participation in DDS focus groups on various Self-Determination Program topics Continued staff support for those implementing the Self-Determination Program at the local level DDS to release additional information soon related to Participant Choice Specialists Discussions with DDS regarding allocation of remaining available funds 	<ul style="list-style-type: none"> SDP Specialist positions are posted on HRC's website (2 SCs and 1 Fiscal Assistant).

Policy	Status	Next Steps	Harbor Regional Center Status
Enhanced Service Coordination for Individuals with No or Low POS	<ul style="list-style-type: none"> • Meetings held between regional center representatives and DDS regarding implementation • Contract language formalizing program rules • Funds allocated in C-1 based on overall size of regional center 	<ul style="list-style-type: none"> • Ongoing discussions with DDS on the launch of this program, particularly around program timelines, training, and tracking outcomes 	<ul style="list-style-type: none"> • Enhanced Service Coordination positions are posted on HRC's website (5) • HRC's list of potential clients has been pulled for review. • HRC participates in the DDS work Group to develop the parameters of the program
Service Restoration <i>(Social/Recreational)</i>	<ul style="list-style-type: none"> • DDS letter issued 10/7 • Regional center restoration implementation questions collected and shared with DDS • CMS approval of funding for Enhanced Community Integration for Children and Adolescents 9/3 	<ul style="list-style-type: none"> • Continued discussions with DDS regarding implementation questions 	<ul style="list-style-type: none"> • HRC Social Recreational Policy in process of being drafted for review and approval by the board in January 2022 • Community and Client Service Departments are drafting outreach plan to educate the community and staff on RC's ability to purchase social/recreational services. • Community Services will be posting RFPs to develop our provider base for social/recreational services.



Harbor Regional Center



HARBOR HAPPENINGS
Support, Information, Choices
Fall 2021 Edition



<https://www.harborrc.org/harbor-happenings>





Harbor Regional Center



ANNUAL REPORT 2020

“HEROES WORK HERE!”

<https://www.harborrc.org/annual-reports>



HEROES Work Here!

Harbor Regional Center
Annual Report 2020



HARBOR
REGIONAL
CENTER



PUBLIC PRESENTATIONS
*Presented by Nancy Spiegel, Director of
Information & Development*

PERFORMANCE CONTRACT

Pursuant to Welfare & Institutions Code Section 4629:

- (a) The state shall enter into five-year contracts with regional centers, subject to the annual appropriation of funds by the Legislature.
- (b) The contracts shall include a provision requiring each RC to render services in accordance with applicable provision of state laws and regulations.
- (c) (1) the contracts shall include annual performance objectives that the department determines are necessary to ensure each RC is complying with the requirement specified in subdivision (b) and be developed through a **PUBLIC PROCESS** that includes, but is not limited to, all of the following:
 - (i.) Providing information to the community about RC services and supports, including budget information and baseline data on services and supports and RC operations.
 - (ii.) Conducting a public meeting where participants can provide input on performance objectives and using focus groups or surveys to collect information from the community.

HRC held a PUBLIC MEETING on SEPTEMBER 8, 2021 and the presentations are available in English and Spanish on our website:

www.harborrc.org/pod/planning-2022



Harbor Regional Center Performance Plan 2022



Process for Public Input

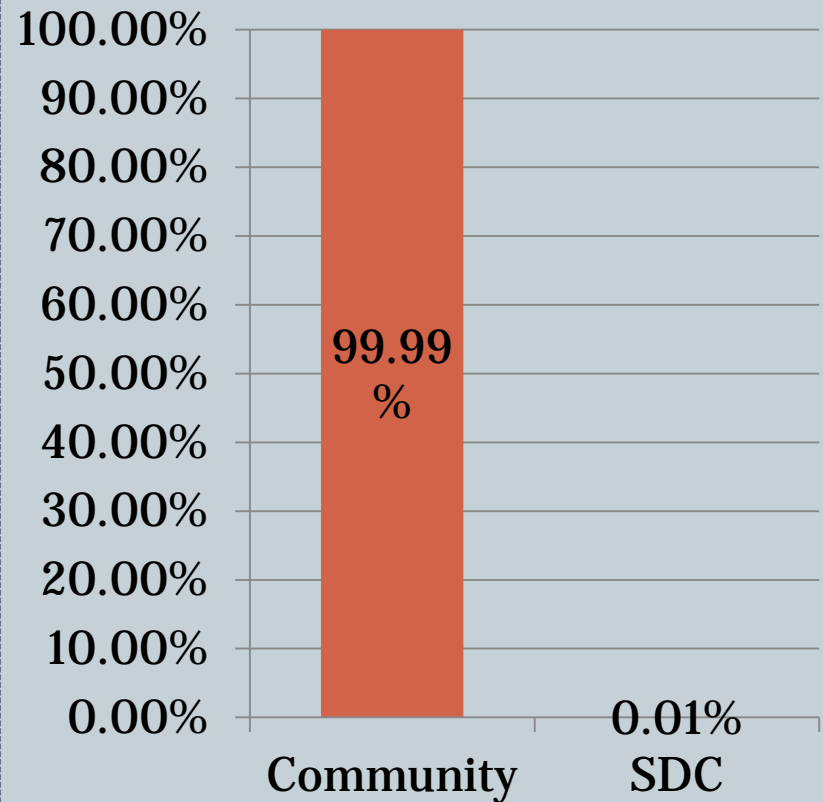


- On September 8th we held a public meeting, “What’s Happening at Harbor Regional Center.”
- This presentation and our proposed plan are posted on our website at <https://www.harborrc.org/performance-plan:> “Planning for 2022”
- We revised our plan to incorporate community input we received at our September 8th public meeting.

Living in the Community

Goal: move people from state developmental centers into the community

Progress: 2 remain in developmental centers by court order



Living in the Community: How to maintain this goal

Planned Activities

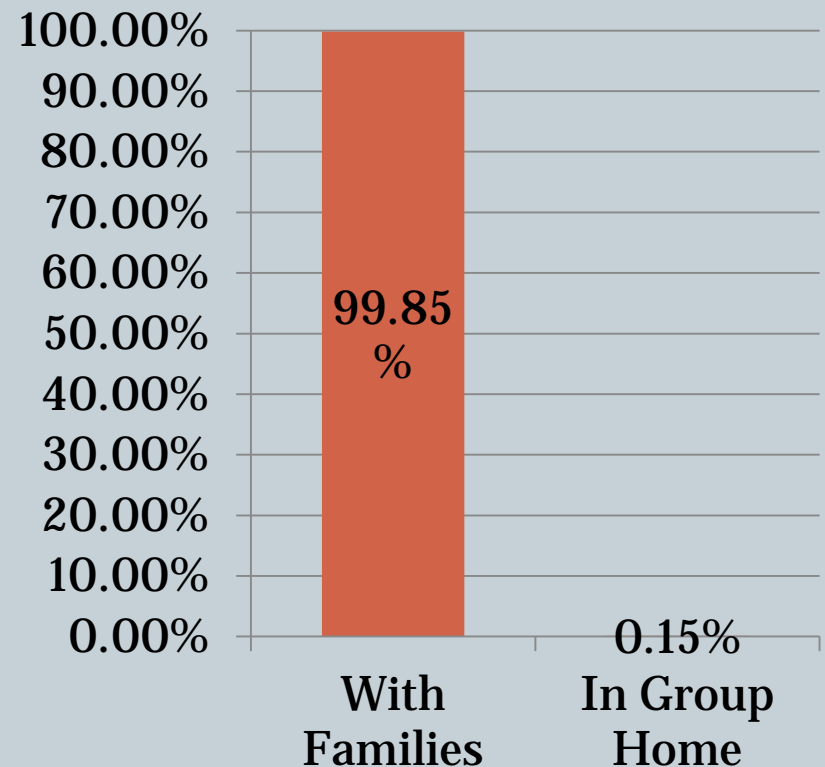
- Keep developing needed resources in the community as funds allow
- Provide specialized services for people who have moved from the state developmental center



Children live with families

Goal: help families keep their children at home

Progress: Almost all HRC children live with families



Children live with families: How to maintain this goal

Support for the family

- Support Groups & Mentors
- HRC Resource Center
- Respite Care Services
- Early Childhood Development
- Language Development
- Behavior Training
- Social Skills Training
- Information About Benefits
- Support during Pandemic



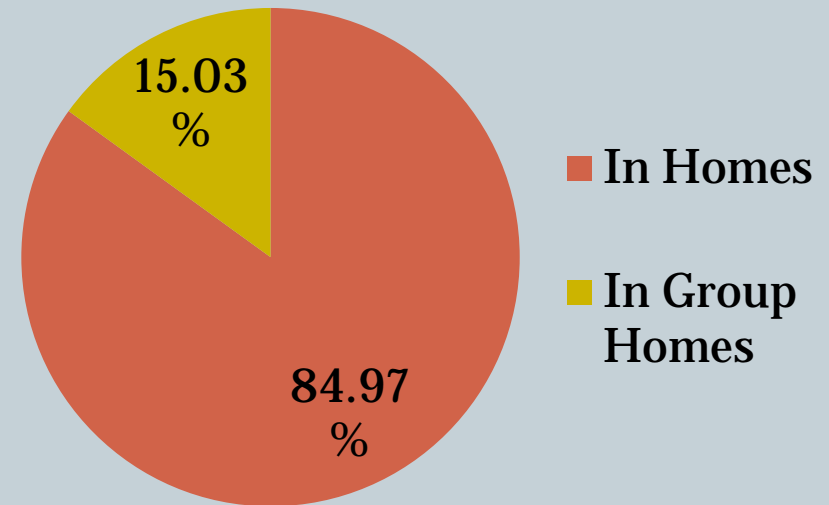
Adults live in Homes

Goal: help adults to live in homes*

***With their family or a foster family**

***In their own home or apartment with supports as needed**

Progress: More adults are living with family or in their own homes



Adults live in homes: How to reach the goal

Support for adults to live in own homes

- Supporting adults to live with their family or in their own home
 - Supported Living Services
 - College support
 - Employment support
 - Affordable housing
 - Help to get benefits
 - Alternative service delivery tailored to a range of individual needs

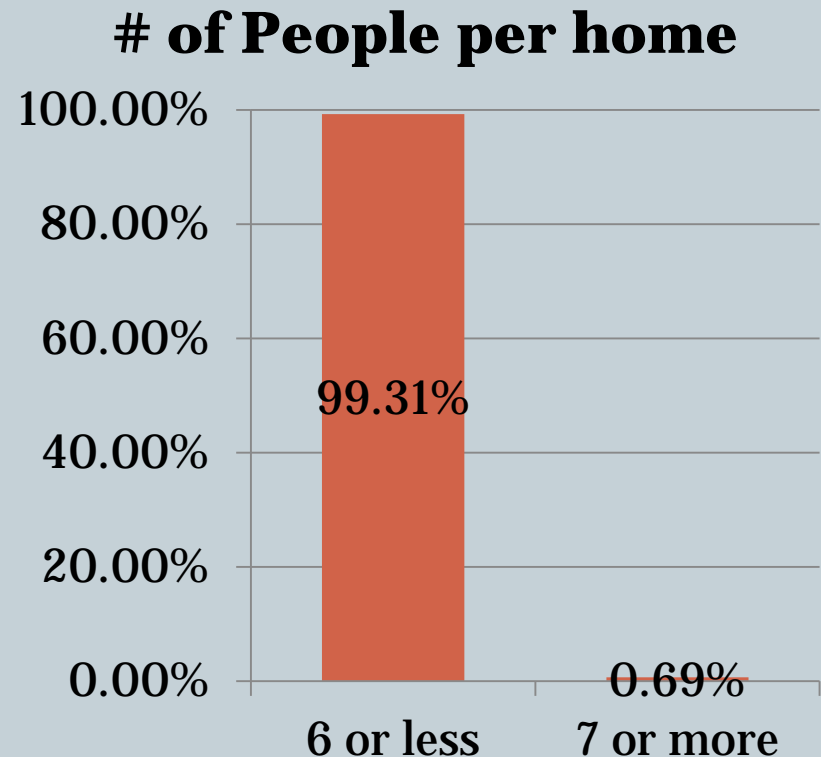


Adults living in small group homes

Goal: Assist adults to move to smaller, integrated homes

- Assess needs of individuals who live in larger settings, such as nursing homes
- Locate smaller home alternatives in the community

Progress: Most adults in group homes live in smaller homes



Employment First

Goal: Help Adults to Find and Keep Jobs

- Employment Orientations
- Job Preparation Classes
- Job Developers seek out the right job for the individual
- Paid Internships that lead to employment
- Employment Incentives to find the right job
- Job Coaches help to keep the job



Services for all People

Goal: Help people of all cultures and languages to receive services

The numbers of employed clients is growing

- Meet with people in their communities
- Information and training in different languages
- Have HRC staff who can speak their languages
- Find out what makes it hard to access services, and find ways to make it easier
- Expand the Parent Mentor program





NATIONAL CORE INDICATORS (NCI)

Pursuant to Welfare & Institutions Code Section 4571:

(h)(1) Each Regional Center (RC) shall annually present data collected from and the findings of the quality assurance instrument described in subdivision (b) for the RC at a **PUBLIC MEETING** of its governing board in order to assess the comparative performance of the RC and identify needed improvements in services for clients. Notice of this meeting shall also be posted on the RC's website at least 30 days prior to the meeting and shall be sent to RC clients, families and individual stakeholders at least 30 days prior to the meeting. The governing board shall provide a sufficient public comment period so members of the public may provide comments.

HRC posted the latest NCI Survey results (The Child Family Survey 2018-19 report) on our website: <https://www.harborrc.org/post/national-core-indicators-study>



National Core Indicators

Child Family Survey (CFS) 2018-19

Harbor Regional Center Report



NATIONAL CORE INDICATORS

- ▶ The National Core Indicators (NCI) is a tool that has been used by public developmental disabilities agencies to measure and track effectiveness of services as reported by individuals served.
- ▶ The core indicators are standard measures used across states for those served to assess quality of services provided.
- ▶ The Department of Developmental Services contracts with the State Council to conduct annual surveys on these measures.

DDS Website Information

www.dds.ca.gov/rc/nci/

The screenshot shows the DDS website interface. At the top, there is a navigation bar with links for 'About', 'Careers', 'News Room', 'Contact Us', and 'Display Settings'. Below this is a secondary navigation bar with icons and labels for 'Coronavirus', 'Consumers', 'Services', 'Regional Centers', 'Transparency', and 'Search'. The main content area features a large blue banner with the title 'National Core Indicators' and a paragraph explaining the survey's purpose. Below the banner are four buttons: 'Learn More', 'Survey Results/Reports', 'Self Advocates', and 'Individuals Transitioning'. To the right, there are two sidebars: 'Related Links' with three links and 'Contact Us' with three links and an email address.

CA.GOV

About Careers News Room Contact Us Display Settings

DDS Department of Developmental Services

Coronavirus Consumers Services Regional Centers Transparency Search

National Core Indicators

The National Core Indicators (NCI) Survey is used by the California Department of Developmental Services to assess performance in services and supports provided to people with intellectual/developmental disabilities (I/DD). The NCI survey has been used in California since 2010 as a requirement by the Welfare and Institutions Code, Section 4571 to implement a nation-wide quality assessment survey.

Learn More Survey Results/Reports Self Advocates Individuals Transitioning

The National Core Indicators (NCI) is a way the state and regional centers learn about the California service system. The State Council on Developmental Disabilities may ask to interview you for NCI. The interview is voluntary, that means you can choose to be interviewed or not. If you do answer the NCI questions, your information will be confidential and will not affect your services. During the interview

Related Links

- National Core Indicators
- Regional Center National Core Indicators Reports
- Mover Longitudinal Study

Contact Us

- NCI Regional Center Liaisons
- State Council on Developmental Disabilities: Quality Assurance Coordinators
- Email: ncihelp@dds.ca.gov

HRC Website: <https://www.harborrc.org/audits-and-reports>

Frequency of Surveys

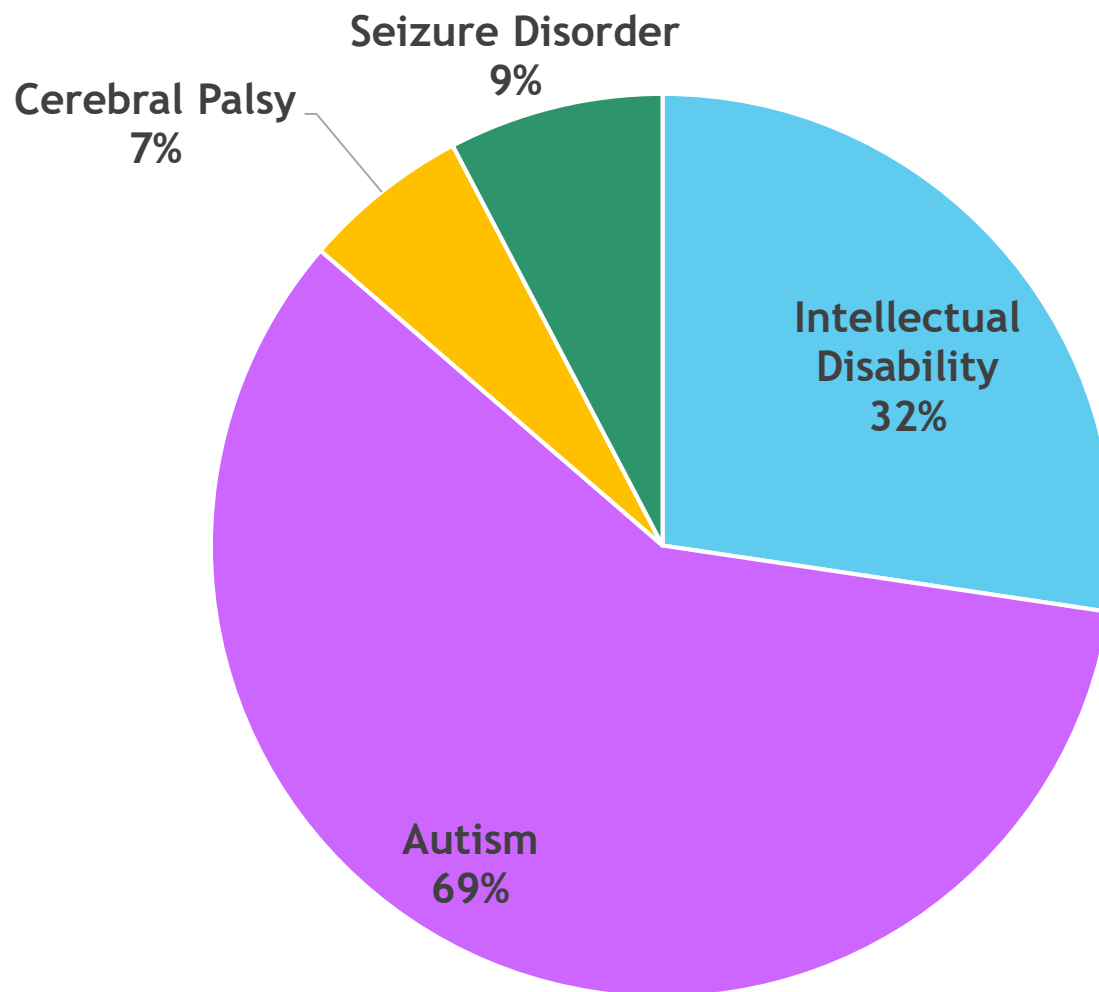
The different types of surveys or data collection are rotated every three years.

- Adult In Person Survey 2017-18
- **Child Family Survey (CFS) 2018-19**
- Adult Family Survey and Family Guardian Survey 2019-20

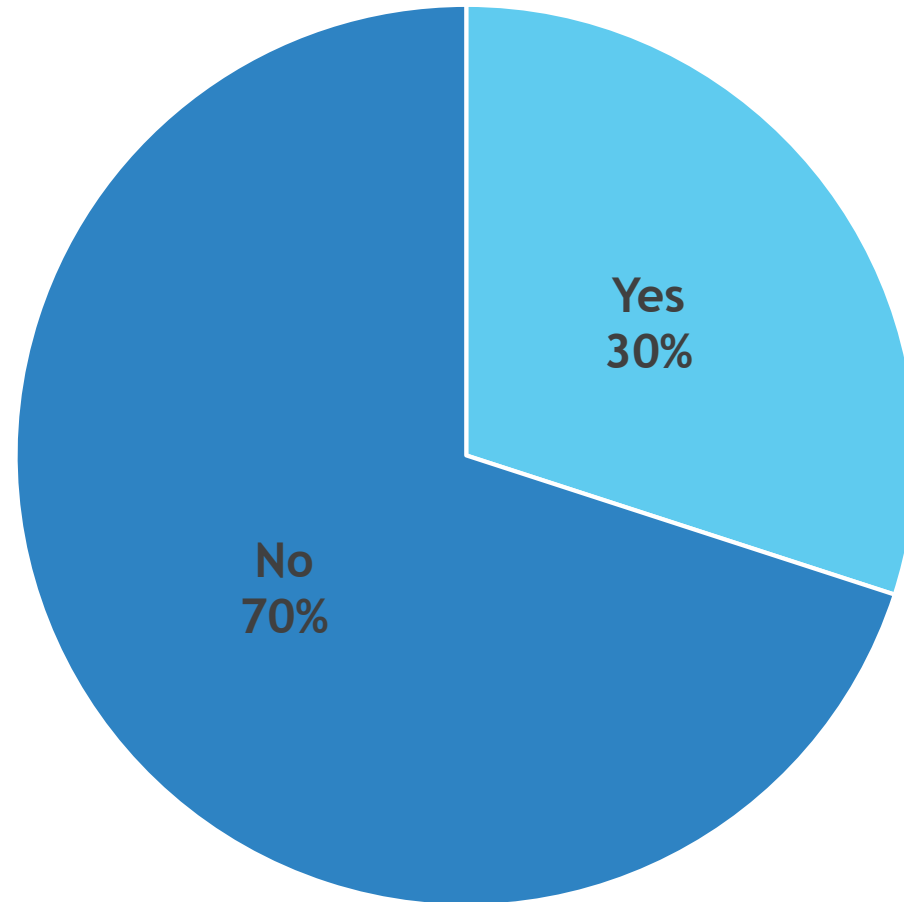
Child Family Survey 2018-2019

- The survey was mailed to families with a child age 3-18 years, who lives with the respondent, and is receiving services from the Regional Center
- 424 families of children ages 3-18 responded
- Of the respondents: 97% were parents, 2% grandparents, 1% siblings
- All data provided by survey respondents are based upon their understanding of their child

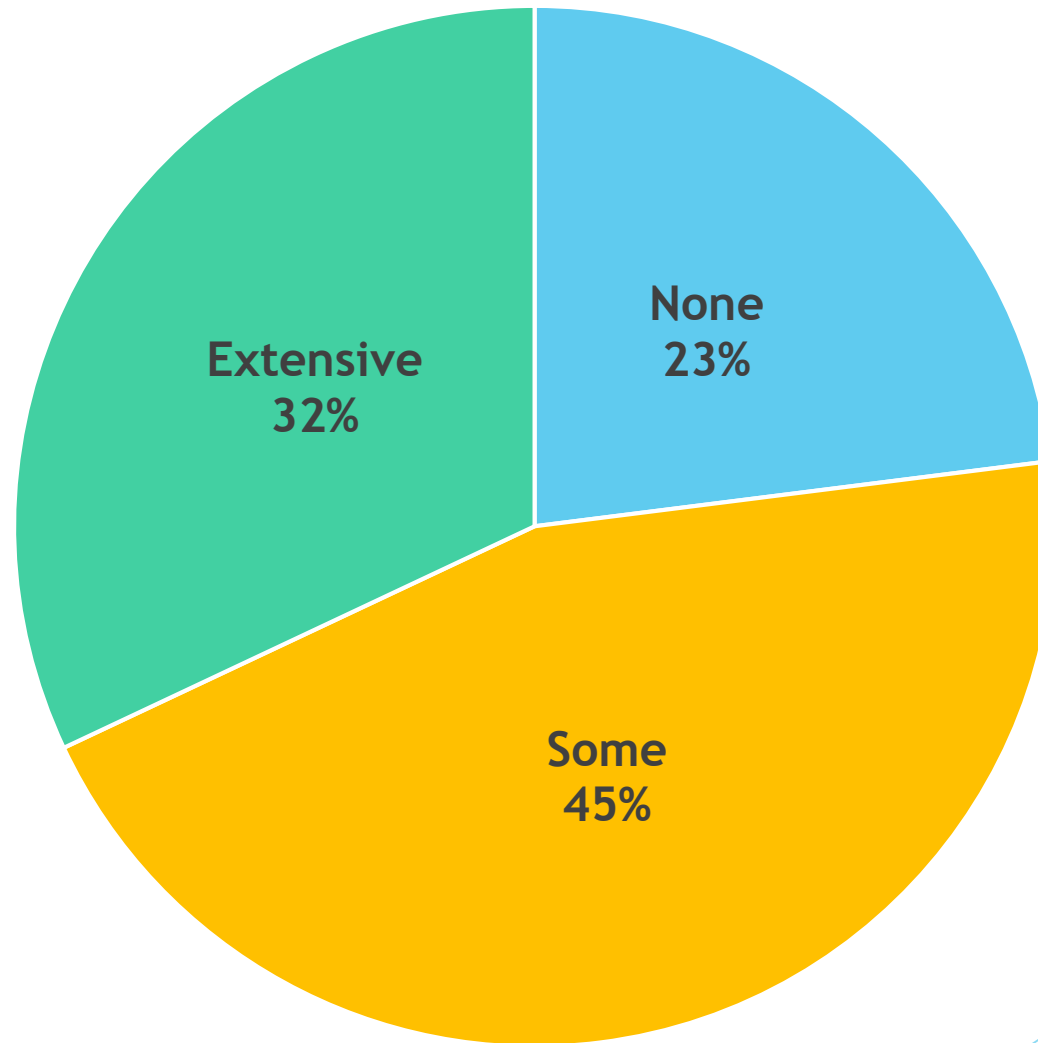
Disability of The Child



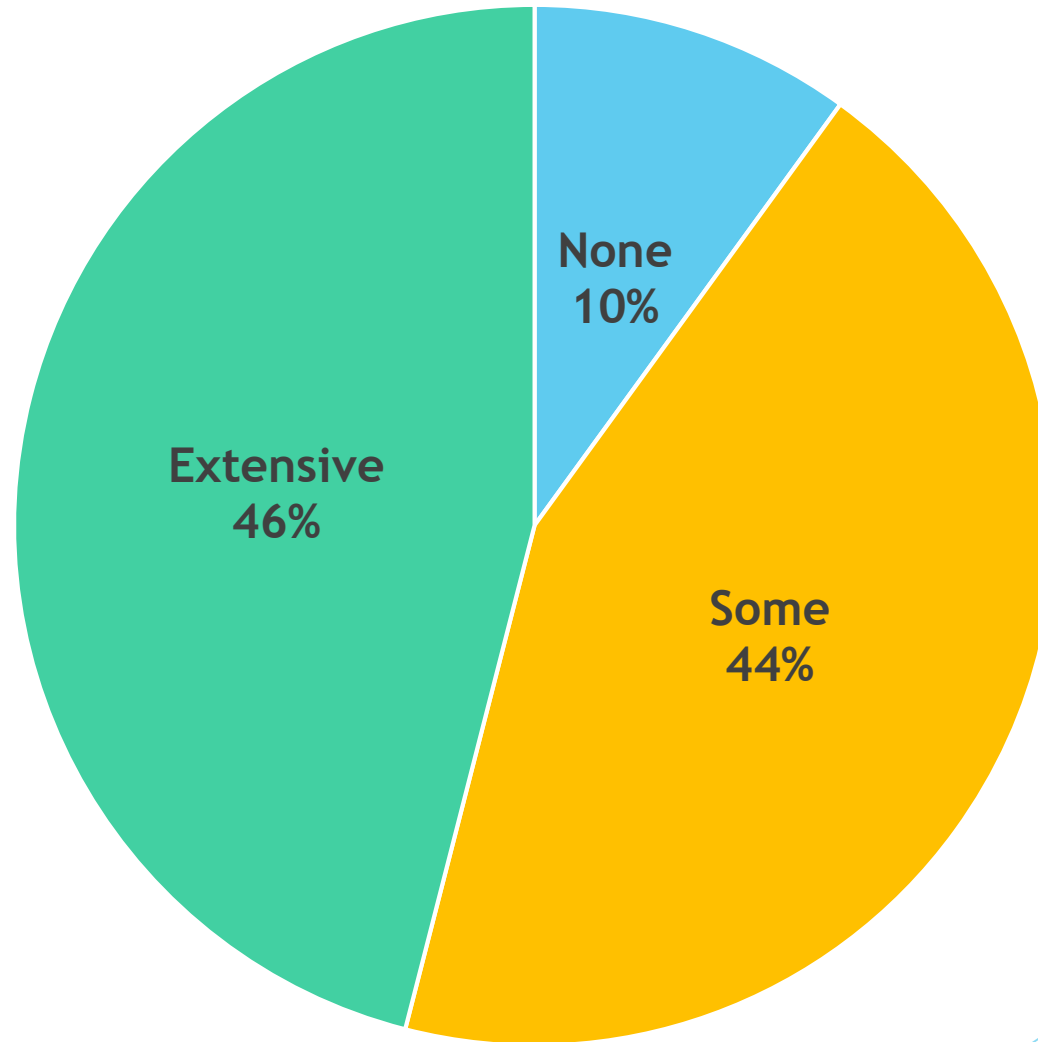
More Than One Child Living in the Home Has Intellectual/Developmental Disability



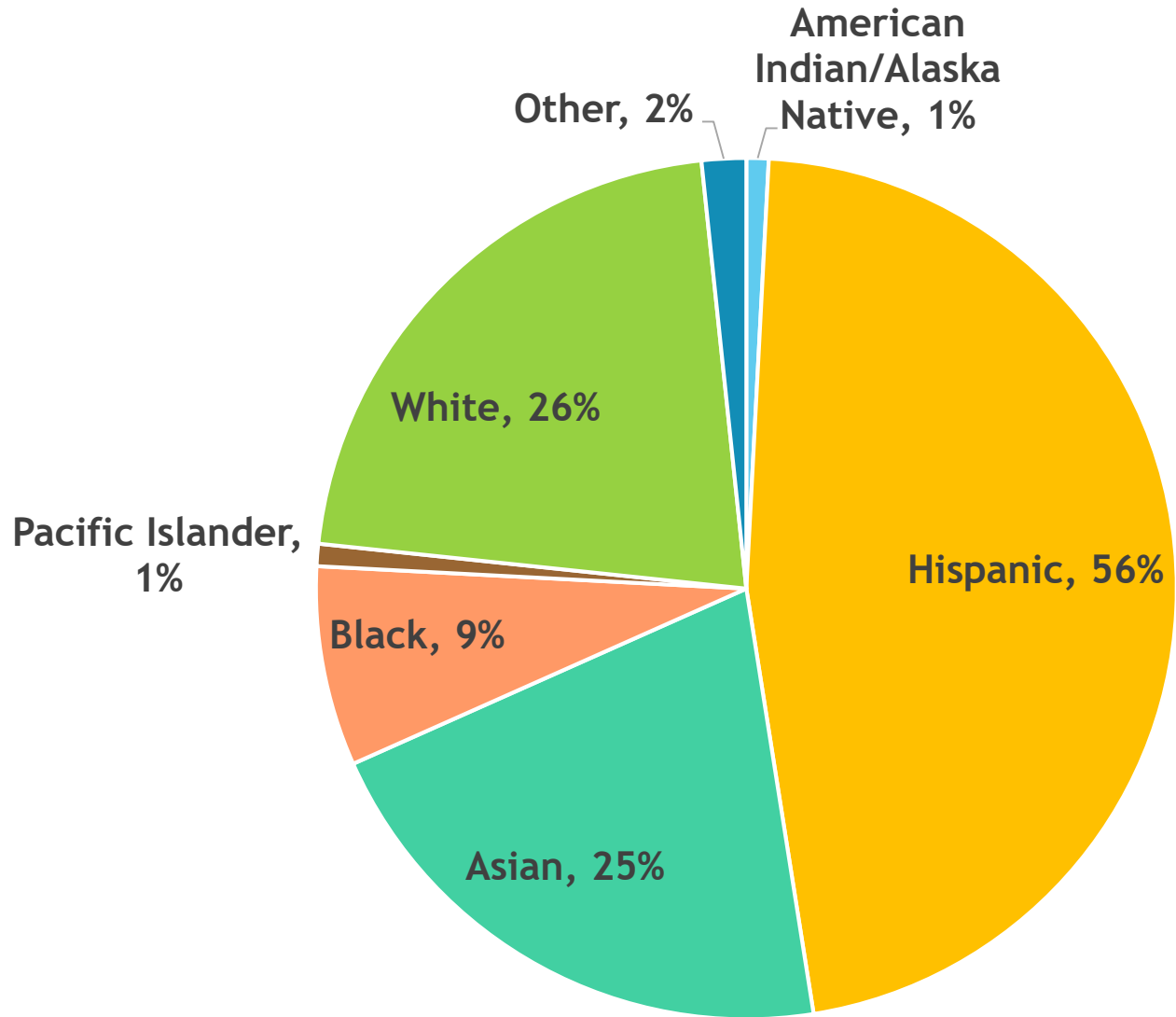
Level of Support for Child's Self-Injurious, Disruptive and/or Destructive Behaviors



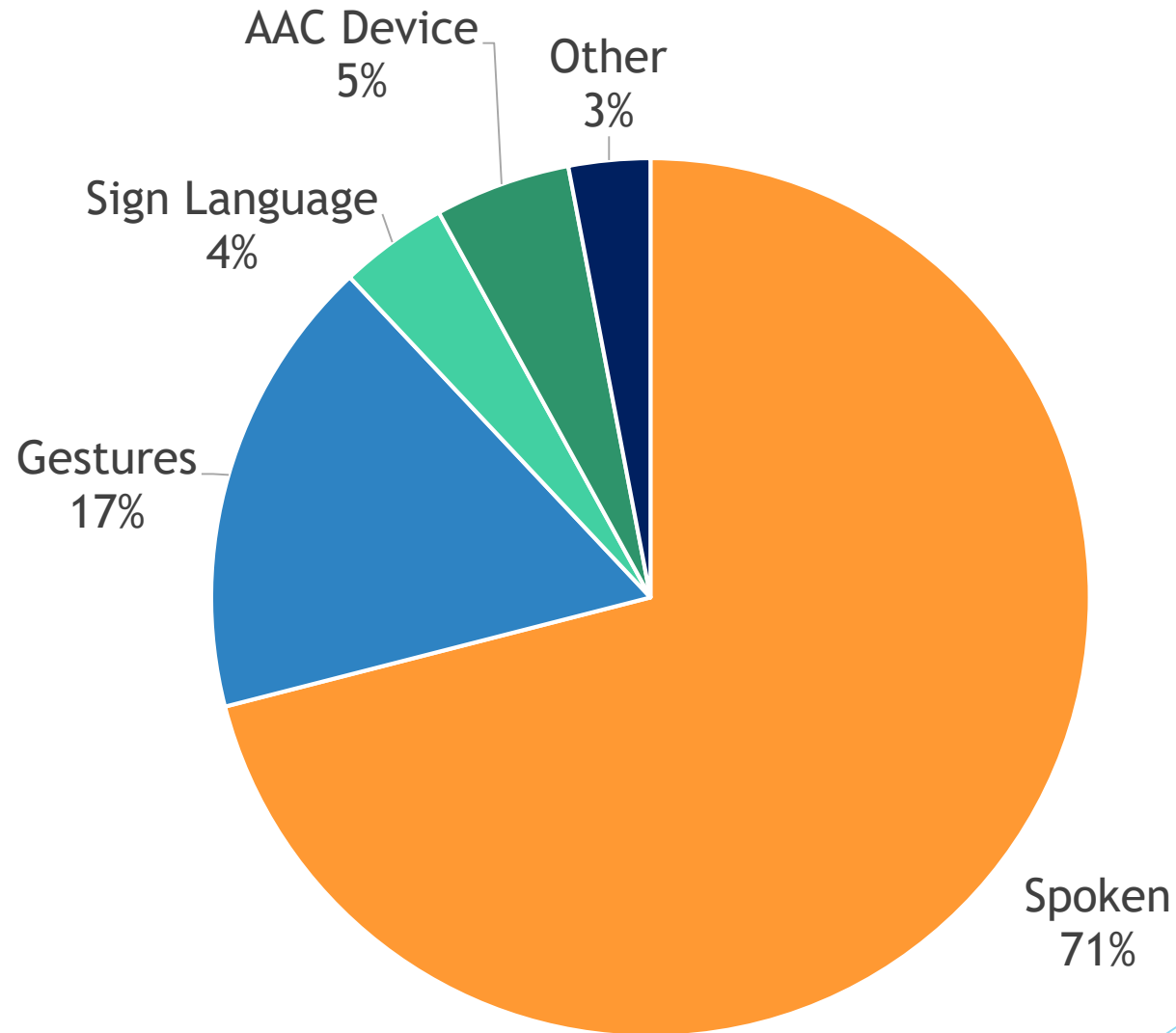
Level of Help Child Needs with Personal Care Activities



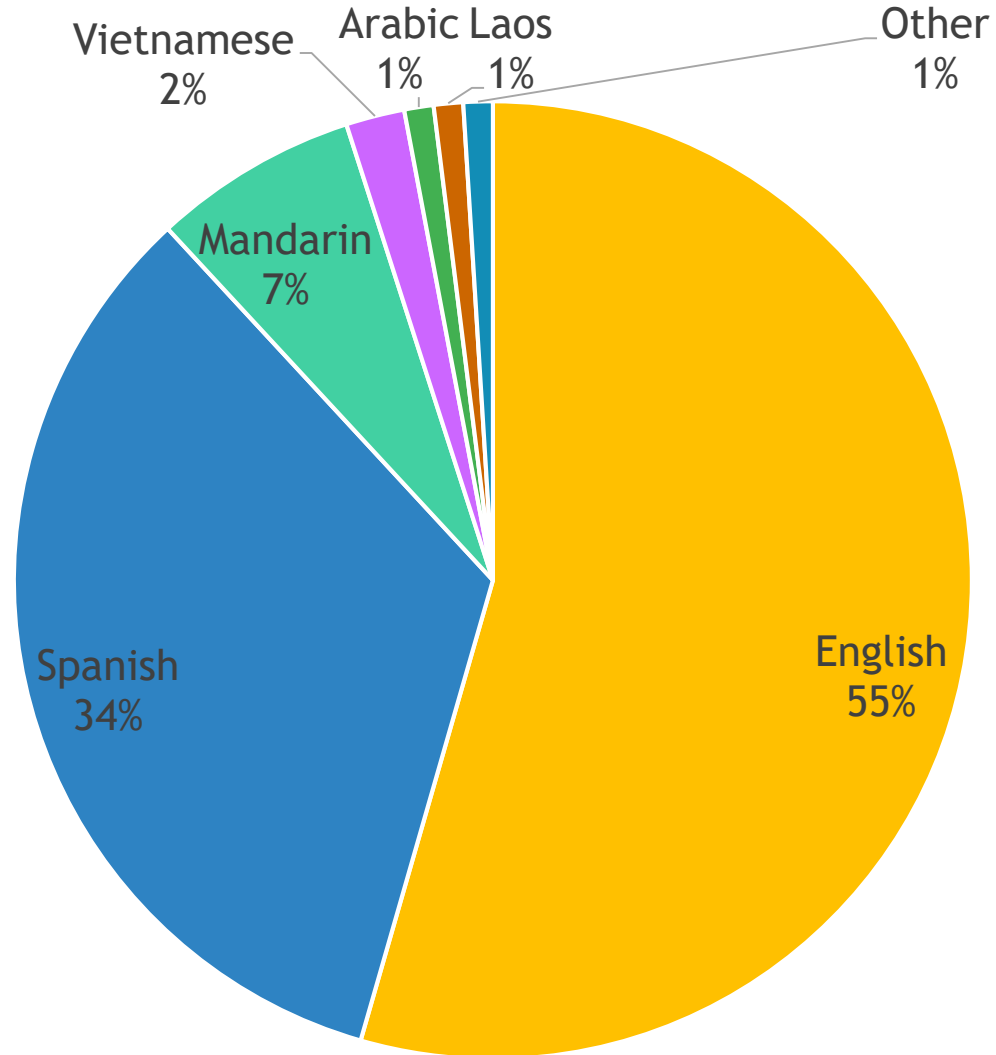
Child's Race and Ethnicity



Child's Preferred Means of Communication

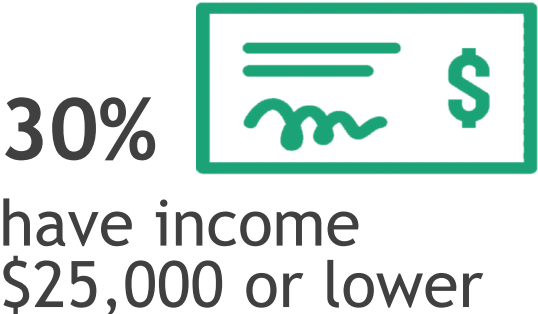
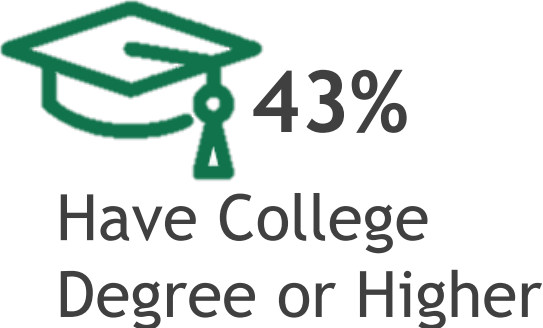


Language Usually Spoken at Home



English Spanish Mandarin Vietnamese Arabic Laos Other

Some Information About the Families Who Responded to the Survey



Access to Service Coordinators & Support Workers

	HRC	CA	National
Always/usually able to contact service coordinator when needed	81%	83%	85%
Always/usually able to contact support workers	80%	82%	84%
Support workers always/usually speak to you in a way that you understand	94%	95%	95%
Services are always/usually delivered in a way that is respectful of your family's culture	95%	96%	96%
There are always/usually support workers who can communicate with non verbal child	70%	60%	61%
Support workers always/usually have the right information and skills to meet family's needs	83%	83%	84%
Child always/usually has the special equipment or accommodation that they need	74%	73%	72%

Information & Service Planning

	HRC	CA	National
Always/Usually received enough information to take part in planning services for your child	61%	60%	67%
Information about services is always/usually easy to understand	67%	67%	68%
Service Coordinator always/usually respects family's choices and opinions during service planning	89%	91%	93%
Always/Usually receives enough information about other public services	59%	56%	58%

Information & Service Planning Continued

	HRC	CA	National
The Individual Program Plan/Individual Family Service Plan includes the services and supports needed	77%	81%	83%
Child received services listed in the IPP/IFSP	87%	87%	84%
Parent/Family Member helped make the IPP/IFSP	73%	77%	83%
Received copy of IPP in preferred language	91%	93%	n/a
Child has a transition plan*	66%	66%	68%

Needs Help Planning For:

	HRC	CA	National
Employment	54%	54%	56%
Financial	52%	54%	57%
Housing	44%	48%	48%
Medical	47%	46%	46%
Social or Relationships	62%	59%	57%
Recreation or Having Fun	61%	58%	56%
Transition from School	52%	56%	58%
Legal	43%	44%	46%

Access to Services & Supports

	HRC	CA	National
Always/usually able to use respite services when needed	74%	76%	66%
Always/usually were satisfied with the quality of respite services	83%	85%	84%
Primary care doctor always/usually understands child's needs related to disability	89%	87%	89%
Child can always/usually go to the dentist when needed	88%	87%	90%
Mental health professional always/usually understands the child's needs related to disability	86%	84%	84%

Additional Supports & Services Needed

	HRC	CA	National
Respite	39%	40%	51%
Homemaker services	22%	22%	23%
Home and Vehicle Modifications	13%	15%	16%
Counseling	49%	38%	31%
Family to Family Support	25%	28%	28%

Family Choice

	HRC	CA	National
Family can always/usually choose or change service agency	76%	79%	80%
Family can always/usually choose or change individual support workers	80%	77%	80%
Child or family member chose or can change service coordinator if they wanted to	85%	83%	81%

Community Inclusion

	HRC	CA	National
Child takes part in activities in community	81%	78%	81%
Spends time with children who do not have developmental disabilities	85%	87%	88%
Community resources available that are not provided by the regional center	86%	80%	81%
Transportation is a barrier	11%	10%	11%
Cost is a barrier	24%	25%	26%
Lack of support staff is a barrier	21%	21%	22%
Stigma is a barrier	33%	29%	28%

Satisfaction

- Always/usually satisfied with services and supports

69%



- Regional Center services have made a positive difference in the life of the family

87%



- Regional Center services have improved the family's ability to care for their child

81%



- Services and supports are helping the child to live a good life

86%



Areas Where We Want to Improve

- ▶ Help families to prepare for, and feel they are fully and actively participating in planning for services for their child
- ▶ Helping to make sure children and their families have access to needed services when needed (respite, medical, dental, and other services in the community)
- ▶ Helping families to continue to exercise as much choice in services as possible
- ▶ While we always work to add more community resources, we want to strengthen collaboration with community partners

THANK YOU





COMMITTEE REPORTS

- ARCA Joe Czarske
- Audit LaVelle Gates
- Board Development* Joe Czarske
- Board Planning Nancy Spiegel
- Client Advisory David Gauthier
- Community Relations Nancy Spiegel
- Retirement Fu-Tien Chiou
- Self-Determination Antoinette Perez
- Service Provider Advisory Paul Quiroz

*Indicates Action Required

CLIENT SERVICES COMMITTEE

Virtually via Zoom – Tuesday September 28, 2021 6-8PM

Attendees: Kim Vuong, Guadalupe Nolasco, Patricia Jordan, Deaka McClain, Fu-Tien Chiou, Melanie Brosuss, Mia Lomedico, Bjoern Petersen, Brent Fryhoff, LaWanna Blair and Fernando Nunez (LRA – translator)

Welcome and introductions were made. The focus of the discussion was around “transition into adulthood” and overall service and supports for adult clients. Bjoern Peterson, Client Service Manager in the Children’s department shared information on how HRC supported families during the COVID pandemic. He also shared the process for transitioning young adults out of the Children’s department and into the Adult’s department. Bjoern went into details about vocational options, customizing support to meet individual needs, providing supports to meet educational opportunities, including information on diploma vs. certificate track and day program options.

Brent Fryhoff, Client Services Manager in the Adult’s department shared information on how the department had to be creative in providing “alternative service” for adult clients during the COVID pandemic. He spoke about resources for adult clients, specifically, employment first initiative, day programs and supported employment and living options. There was some discussion around quality assurance and monitoring vendored programs.

The next meeting is scheduled for Tuesday October 26, 2021 from 6-8pm via zoom.

CLIENT SERVICES COMMITTEE

Virtually via Zoom – Tuesday October 26, 2021 6-8PM

Attendees: Kim Vuong, Guadalupe Nolasco, Patricia Jordan, Fu-Tien Chiou, April Rehrig, Armand Garcia, Patricia Jordan, Mia Lomedico, Griselda Torres, Antoinette Perez, Judy Tami, LaWanna Blair and Fernando Nunez (LRA – translator)

Welcome and introductions were made. Antoinette Perez, Director of the Children’s Department, facilitated a presentation on “personal care” including a review of some common statues that HRC uses to guide what we do around assessment. She went into specific details related to: what information is considered when conducting an assessment for personal care; what are the care and supervision needs, how needs should be supported by the IEP/IPP goals; what generic supports are available, and parent responsibility. There were some questions related to the disparity with funding personal assistance in comparison to other regional centers. The committee members had open discussion around this topic and feel that a policy is necessary. The committee was advised that a policy is underway.

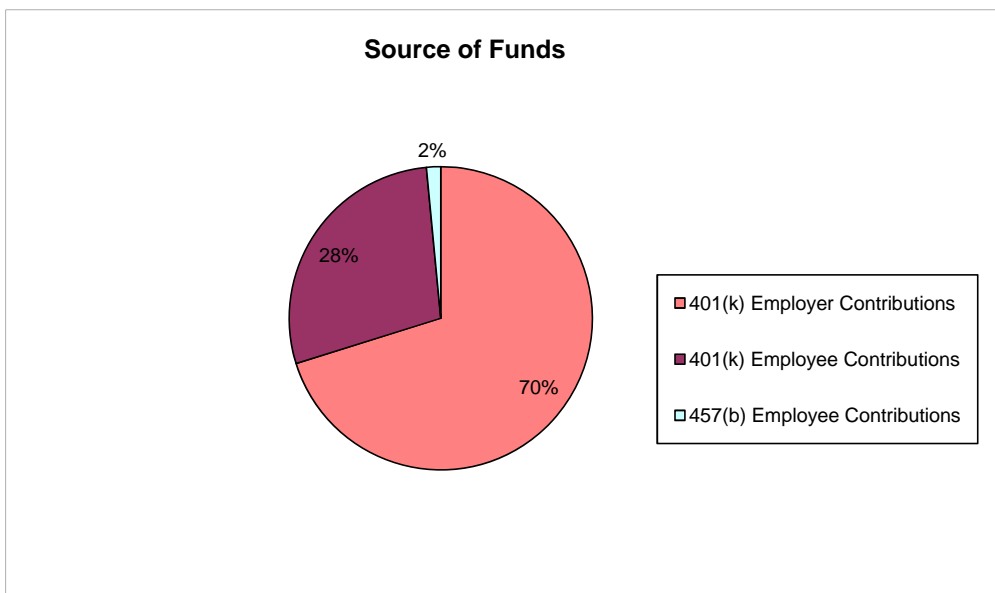
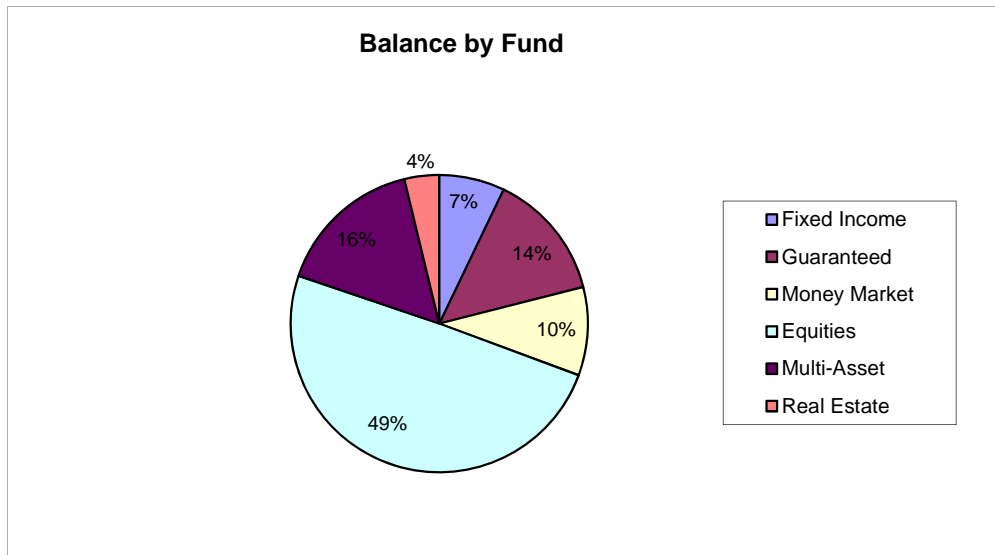
The next meeting will be held in January 2022 and Judy Taimi will be the new Client Services Committee liaison.

**Harbor Regional Center
Retirement Plan Balances as of 9-30-2021**

	401(k) Employer Contributions	401(k) Employee Contributions	457(b) Employee Contributions	Total Balance
Fixed Income	\$3,022,239	\$1,162,947	\$23,914	\$4,209,101
Guaranteed	\$4,746,552	\$2,774,369	\$669,945	\$8,190,867
Money Market	\$4,596,299	\$1,071,378	\$9,536	\$5,677,213
Equities	\$20,519,758	\$8,665,261	\$51,796	\$29,236,815
Multi-Asset	\$7,050,521	\$2,260,055	\$124,009	\$9,434,585
Real Estate	<u>\$1,455,788</u>	<u>\$768,046</u>	<u>\$6,919</u>	<u>\$2,230,753</u>
Total	\$41,391,159	\$16,702,055	\$886,120	\$58,979,334

* Plan Balances include active and terminated employees still in the Retirement Plan.

** Employee Contributions include **\$1,366,119** in Rollover funds.



**Harbor Regional Center
Retirement Plan Balances as of 9-30-2021**

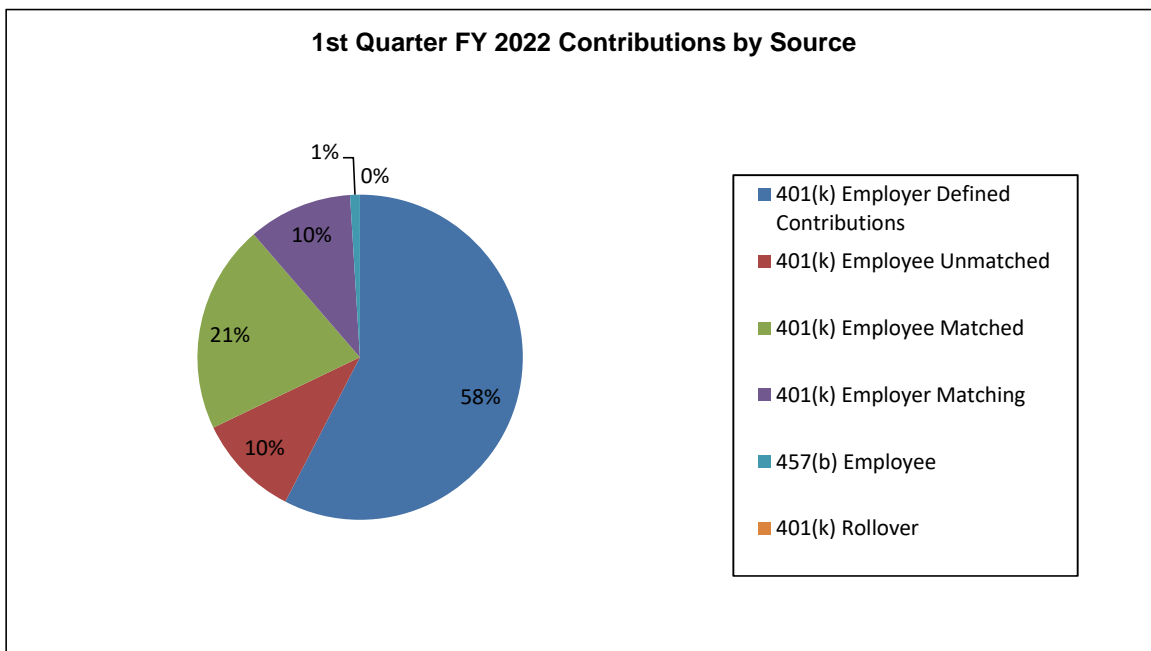
	<u>401(k)</u>	<u>457(b)</u>
Fund Balance 6/30/21	\$58,253,146	\$877,418
Activity 7/1/21 - 9/30/21		
Distributions	(\$1,163,383)	(\$3,491)
Contributions	<u>\$964,378</u>	<u>\$9,464</u>
Net	\$58,054,141	\$883,391
Fund Balance 9/30/21	\$58,093,213	\$886,120
Gain/(Loss)	\$39,072	\$2,730
% Gain/(Loss) for the Period	0.07%	0.31%

Participants

Active Employees in Retirement Plan	337	3	59%
Terminated Employees in Retirement Plan	228	4	41%
Active Employees Total Balance	\$38,827,956	\$237,267	66%
Terminated Employees Total Balance	\$19,265,257	\$648,854	34%

Contributions

Employer		
Defined (10%)	\$560,964	\$0
Matching (50% of Employee Matched)	\$101,064	\$0
Employee		
Matched (up to 6%)	\$202,129	\$0
Rollover	\$0	\$0
Unmatched	<u>\$100,221</u>	<u>\$9,464</u>
Total	\$964,378	\$9,464



**Harbor Regional Center
Retirement Plan Balances as of 9-30-2021**

<u>Loan Information</u>	<u>as of 6/30/2021</u>	<u>as of 9/30/2021</u>	<u>Increase/ (Decrease)</u>
Employees with Loans			
Active Employees with Loans	27	27	0
Terminated Employees with Loans	<u>4</u>	<u>4</u>	<u>0</u>
Total	31	31	0
Average Balance Amount	\$7,409	\$6,408	(\$1,001)
Loan Value Total	\$229,677	\$198,643	(\$31,035)

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
August 4, 2021

Opening:

The regular meeting of HRC Self Determination Advisory Committee was called to order at 6:04 PM on Wednesday, August 4, 2021 via Zoom. Quorum was not established at start of meeting.

Committee Member Present

Rosalinda Garcia, Parent
Deaka McClain, Client
David Oster, Client
Sunghee Park, Parent (BBT)
Miriam Kang, Parent
Johnanthony Alaimo, Office of Client Rights Advocacy Representative

Committee Members Absent

Linda Chan-Rapp, Parent
Patricia Jordan, Client
Julianna Martinez, Parent

HRC Staff Present

Antoinette Perez – Director of Children's Services
Judy Taimi- Director of Adult Services
LaWanna Blair– Director of Early Childhood Services
Josephina Cunningham – Client Services Manager
Donna Magana- Client Services Manager
Ashley Brown- Intake Manager
Liz Cohen-Zeboulon – Client Services Manager
Bjoern Peterson – Client Services Manager

HRC Staff Absent

Jessica Guzman-Client Services Manager
Katy Granados- Client Services Manager

SCDD Staff Absent

Brianna Reynoso

Abbreviations

HRC: Harbor Regional Center
IF: Independent Facilitator
PCP: Person-Centered Plan
SCDD: State Council on Developmental Disabilities
SDP: Self-Determination Program
DVU: Disability Voices United
FMS: Financial Management Service

Visitors

Lucy Paz Jamie Van Dusen Erin Hardimon Kim Vuong Laruen O'Neil

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
August 4, 2021

Welcome

Introductions of committee members and guests – Via Chat on Zoom

Approval of Minutes:

Quorum was established; 5 committee members present during the zoom meeting. July 7, 2021 minutes posted and available for viewing on HRC website. No changes noted. Deaka McClain motioned to approved minutes and David Oster made the second motion to approve July minutes.

Harbor Regional Center Monthly Update – Josephina Cunningham – Client Services Manager and Antoinette Perez, Director Children’s Services:

- Current number of SDP participants **95**
- # of Participants with completed PCP’s **23**
- # of Participants with approved Budgets **26 as of June 2021**
- # of Participants with completed Spending Plans **24**
- # of Participants who have gone live with SDP **24**, numbers remain the same

- Orientations: HRC decided to uphold the original June 7th date and started orientations in May. 2 English and 2 Spanish held in May, 89 families completed orientation.
- Fair Hearings and Outcomes: HRC is in the process of completing a 3 part fair hearing, will not know outcome for another month.
- Resource Development: Families continue to share resources and who they use to do their PCP, which IF and FMS they use. Currently working on how PCP is going to be categorized.
- HRC roll-out update
 - Implementation Funds FY 20/21
 - Skills4care flyer outlining the purpose of the program and how mentor support will be provided shared with group. The referral process was sent to all SC and program available to all clients now. At this time, unknown of how many are interested and submitted those referrals

Statewide Updates:

- Statewide Committee- Rosalinda Garcia
 - Inquired about the new directive, however HRC does not know the details of the directive of how it will be implemented. We are assuming it will be 3 additional positions per regional center, but nothing is set in stone. We are currently awaiting more information

Partner updates:

Office of Clients and Rights Advocacy – Johnanthony Alaimo

- Upcoming webinar on Monday August 16th : Staff Attorney discussing COVID-19 and back to school, special education
- Gave overview and contact information on Office of Clients and Rights Advocacy State Council – No representative at this meeting.

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
August 4, 2021

Miriam Kang stated that she reached out to State Council about committee members not attending.

- Members indicated that translation was inaccurate and did not feel supported. Suggestion for meeting to be in Spanish and the rest of the audience to obtain translation
 - It was side noted that one of the more skilled translator is specifically picked to be a part of this meeting.
 - HRC conducts cafecitos in Spanish, translated in English as requested
 - Allow for SDP trainings to be in Spanish and welcomed to attend as many as possible
- Members need to reach out to representatives to determine if they will remain or not
- If continue to be no show and/or no response, SDAC need to go to state council (hopefully with recommendations) for replacement to be voted in
- Rosalinda Garcia will reach out to current representatives to determine follow up steps
- Rosalinda will reach out to Antoinette to get names and will follow up with Chris if more guidance is needed

Action items:

- **2021-2022 implementation Funds RFP update**
 - has not been decided on how it will be used. Linda leading a group for RFP. DDS stated there is no deadline, however RC is trying to reach deadline of end of September. Able to seek out assistance from community services, Angela Woods and Stacey Shaeffer.
- David Oster would like a more simplified PCP
- Miriam King- discussion of what we have heard out and about with SDP, good and bad happening here and at other regional centers
- A possible training on PCP vs IPP differences, possible training on understanding the value of PCP vs IPP
- What to do when you are unhappy with the people at you are hiring, what resources are there out to provide support.

Public Comments:

- **Next meeting:** September 1, 2021 via Zoom 6PM-8PM

Adjournment, Conclusion

Meeting was adjourned at 7: PM.

Minutes submitted by Josephina Cunningham

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
September 1, 2021

Opening:

The regular meeting of HRC Self Determination Advisory Committee was called to order at 6:06 PM on Wednesday, September 1, 2021 via Zoom. Quorum was not established at start of meeting.

Committee Member Present

Deaka McClain, Client
David Oster, Client
Johnanthony Alaimo, Office of Client Rights Advocacy Representative
Linda Chan-Rapp, Parent

Committee Members Absent

Rosalinda Garcia, Parent
Patricia Jordan, Client
Julianna Martinez, Parent
Sunghee Park, Parent (BBT)
Miriam Kang, Parent

HRC Staff Present

Patrick Rupee- Executive Director
Antoinette Perez – Director of Children's Services
Judy Taimi- Director of Adult Services
LaWanna Blair– Director of Early Childhood Services
Josephina Cunningham – Client Services Manager
Donna Magana- Client Services Manager
Ashley Brown- Intake Manager
Liz Cohen-Zeboulon – Client Services Manager
Bjoern Peterson – Client Services Manager
Katy Granados- Client Services Manager
Jessica Eich- Client Services Manager
Jessica Guzman-Client Services Manager

HRC Staff Absent

N.A.

SCDD Staff Absent

Brianna Reynoso

Abbreviations

HRC: Harbor Regional Center
IF: Independent Facilitator
PCP: Person-Centered Plan
SCDD: State Council on Developmental Disabilities
SDP: Self-Determination Program
DVU: Disability Voices United
FMS: Financial Management Service
DDS: Department of Developmental Services
RFP: Request for Proposal

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
September 1, 2021

Visitors

Lucy Paz	Jamie Van Dusen	Erin Hardimon	Paul Quiroz	Jordan Feinstock
Whitney Williams	Reiko Sakuma Umeda	Jeanne Wu	Sue Gavin	Melissa Jones
Sheila Jones	Ken Currie			

Welcome

Introductions of committee members and guests – Via Chat on Zoom

Approval of Minutes:

Quorum was not established; 4 committee members present during the zoom meeting. August 4, 2021 minutes posted and available for viewing on HRC website. No changes noted. Since quorum was not established, minutes were not approved. August minutes need to be approved during the next meeting.

Harbor Regional Center Monthly Update – Katy Granados – Client Services Manager and Antoinette Perez, Director Children’s Services:

- Current number of SDP participants **95**
- # of Participants with completed PCP’s **23**
- # of Participants with approved Budgets **27**
- # of Participants with completed Spending Plans **27**
- # of Participants who have gone live with SDP **27**, as of 9/1/2021 (14 from the Children Department and 13 from the Adult Department)

- Orientations: HRC decided to uphold the original June 7th date and started orientations in May of 2021.
 - 269 families have completed our SDP orientation (163 are from Children and Early Childhood Departments and 106 from the Adult Department).
 - 6 families are registered for September.
- Fair Hearings and Outcomes: HRC is pending the decision on one fair hearing, which was around the establishment of unmet needs.
- Resource Development: We continue to analyze SDP modules developed by Lanterman Regional Center and we are preparing to post these modules online.
- HRC roll-out update
 - Implementation Funds FY 20/21
 - Skills4care program has received 8 referrals of which only one was Spanish speaking. Skills4care has identified the primary area of concern, which has been around the FMS role. It was agreed Skills 4 care will gather a log and share at the next meeting.
 - Sharing resources is vital for the implementation of SDP. Antoinette shared we have received a sample booklet from DDS titled, “Welcome to the Self Determination Program” and we are in the process of reviewing the material.

Statewide Updates:

- Statewide Committee- Deaka McClain and Linda Chan Rapp
 - Inquired about new statewide SDP advisory committee. Linda agreed to share contact information to Deaka for future update reporting.
 - FMS contact list has been updated on the DDS website

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
September 1, 2021

Partner updates:

Office of Clients and Rights Advocacy – Johnanthy Alaimo

- Special Education Summit September 13 to 17th; available in English and Spanish. Johnanthy provided the web link for reference.

State Council – No representative at this meeting.

- During the last meeting, it was agreed Rosalinda would contact the two state council members assigned to SDAC to determine if they will remain on their role or not. Unfortunately, since Rosalinda was not present she was not able to provide an update during this meeting.
- If continue to be no show and/or no response, SDAC need to go to state council (hopefully with recommendations) for replacement to be voted in.

Action items:

- **2021-2022 implementation Funds RFP update**
 - Linda led the group by reviewing the “Request for Proposal SDP” developed by Linda Chan Rapp, David Oster, Miriam Kang and Deaka McClain
 - Antoinette, asked how the group came up with the rate of reimbursement amount. Linda shared it was determined by comparable coaching programs established by other Regional Centers. At this time there is not proposal due date.
 - Once SDAC agrees to the draft then, the RFP for SDP would be reviewed by the HRC Department of Community Services and finalized by the HRC CFO. The receiving of applicants for this proposal would go through the HRC Department of Community Services for the vetting process of service providers.
 - It was agreed, our Department of Community Services will provide clarity on the vetting process and incorporate the SDAC members that developed that RFP for SDP. Antoinette, agreed to connect with the HRC Department of Community to clarify a timeframe for this review and provide a due date by this Friday.
 - David Oster and Linda asked if he can attend the interview process for service providers. Patrick agreed to clarify if SDAC members can attend the vetting process for implementation of this new service provider.
 - Quorum was not met since Johnanthy Alaimo left early. Therefore, SDAC members recommended the RFP SDP draft be shared with all SDAC members for their approval to send to the HRC Department of Community Services. Linda agreed to send this draft to all SDAC members.

Public Comments:

- Erin Hardimon, shared concerns with State Council members not attending. Antoinette, reminded the group that Rosalinda the chair of this committee agreed to address this concern and will be following up next meeting. Linda reminded the team that State Council has identified an alternate but they have also not attended.
- David Oster shared he has identified an Independent Facilitator, Fernando Luis Gomez. He would like Fernando present at the next SDAC. Antoinette clarified the purpose of his presentation and it was agreed he can present on PCPs vs IPPs.
- A meet and greet will be developed for a representative from Skills4Care to be present on this platform.

HARBOR REGIONAL CENTER
Self Determination Advisory Committee
Meeting Minutes
September 1, 2021

Next meeting: October 6, 2021 via Zoom 6PM-8PM

Adjournment, Conclusion

Meeting was adjourned at 7:23 PM.

Minutes submitted by Jessica Guzman

**Harbor Regional Center
 Service Provider Advisory Committee
 October 12, 2021 10:00 am
 Virtual via Zoom Meeting**

Committee Participants

Member Name	Organization
Paul Quiroz, Chairperson	Cambrian Homecare
Alex Saldana	Oxford Healthcare
Angie Rodriguez	Social Vocational Services
Dee Prescott	Easter Seals
Patricia Flores	Life Steps Foundation
Alex Saldana	Oxford Healthcare
Christine Grant	Dungarvin CA.
Anthony MacConnell	Dungarvin CA.
Donna Gimm	Mentor Network
Scott Elliott	ICAN California
Lindsey Stone	ICAN California
Terri Nishimura	Momentum PTN
Ben Espitia	Goodwill Industries
Nancy Langdon	Canyon Verde
Amy Miller	InJoy Life Resources
Stephani Anderson	Momentum PTN
Nicolle Cash	
Dan Merrell	Maxim Healthcare

HRC Staff Participating

Staff Name	Title
Heather Diaz	Director of Community Services
Judy Wada	Chief Financial Officer
Nancy Spiegel	Director of Information and Development
Leticia Mendoza	Community Services Department Assistant
Steve Goclowski	Clinical Services Manager
Judy Taimi	Director of Adult Services
Mary Hernandez	Director of Case Management Support Services
Karine Paulan	Manager of Rights and Quality Assurance
LaWanna Blair	Director of Early Childhood Services
Ute Czammel	Controller
Tes Castillo	Assistant Controller

Call to Order

Heather Diaz called the meeting to order at 10:02 a.m. Total of 31 participants.

HRC Updates:

Heather Diaz, Community Services Director informed the group that HRC plans to Revamp the format for SPAC in the following Re-Organization areas:

- Getting more of the provider community involved was encouraged
- Sub groups by service category from Residential, Day programs, Transportation, Early Start, Respite, Clinical
- Looking for 1 SPAC member to be on the SPAC board

Paul Quiroz, Board Member term will end Spring 2022

Technology Lending Library Presentation

Dee Prescott, Easter Seals Southern California provided presentation overview of the Technology Lending Library grant project. This is a collaborative project with five L.A. Regional Centers, HRC, FDLRC, ELARC, SGPRC, NLARC. Program offers technology through equipment and ongoing support and training to the individuals using the Technology Lending Library (TLL). Laptops, iPads, Jay's Pad tablet. This unique automatic feature functions tablet is for clients more physically involved. Service coordinators send referrals directly to Easter Seals for those that need assistance with technology. Easter Seals then connects with the clients and families.

Dee also informed that the local public libraries have free internet hot spots available.

Provider Rate Changes and Implementation

Judy Wada provided a review of the timelines for the rate Increments contingent of federal approval:

- By April 1, 2022
 - 25% of the difference between current rate and the fully- funded rate model
- By July 1, 2023
 - 50% of the difference between rates in effect March 31,2022 rate and the fully-funded rate model
- By July 1, 2025
 - Full implementation of the rate models at 90% and quality incentive program at 10%
- June 30, 2026 Hold harmless of vendors with rates above the fully-funded rate models end

Burns & Associates will be reviewing the recent rate study. They are looking at taking in consideration recent inflation costs. Daily rate conversion example graphs provided. Judy encouraged the committee to review the rate study & their program rates.

Cares Act

Judy provided information on the New Funding for Health Care Providers. A combined application for Provider Relief Fund (PRF) Phase 4 and American Rescue Plan (ARP) Rural funding. Application submission deadline is October 26, 2021. PRF Phase 4 \$17 Billion and ARP Rural

\$8.5 Billion. Eligibility criteria for each are different. PRF Post-Payment Reporting Requirements. PRF recipients who received one or more payments exceeding, in the aggregate, \$10,000 during a payment received period are required to report as part of the post-payment reporting process. Providers were encouraged to contact their Financial Advisor regarding future audits.

Special Incident Report (SIR's)

Mary Hernandez provided October 2021 data summary of SIR timeliness. Mary reminded the committee the importance of submitting SIRS to HRC within 24 hours of learning of the occurrence and a written report of the incident within 48 hours per Title 17 regulations. SIR form is available on HRC website or providers may also contact their Provider Relations Specialist to obtain form. Mary and her team are available to offer a refresher training on SIR reporting for providers if needed. Data report reflected HRC to be at the 61% percentile in comparison to other regional centers.

DS1891 Disclosure Statement Form

Heather informed the committee that HRC will be sending out emails to providers to request updated DS1891 disclosure statement forms to be completed before the end of the calendar year. This form is required every two years.

Public Health Order for RCs and Providers

Nancy Spiegel provided information reminder of the requirement of full vaccination of individuals by November 30, 2021. This is applicable to all service provider workers who provide direct care services to clients and regional center employees. Booster dose is available of the Pfizer vaccine who meet certain conditions. Vaccine exemptions are allowable under specific criteria. HRC is currently not requesting proof of service providers/DSP's vaccinations. Providers should keep records for their staff.

Recently Signed Legislation

Nancy Spiegel provided information on the recent signed Legislation SB 639 Ending the Subminimum Wage. This ensures all workers earn \$15.00 p/hr and can become financially independent. It creates a path that transitions workers with disabilities from segregated settings to integrated settings and allows workers to earn a real wage and work alongside their colleagues. <https://scdd.ca.gov/sb639/>

AB 313 (Garcia, Norwalk) for persons with developmental disabilities in state civil service. This will help ensure that they are afforded equal job opportunities for state employment. They should be able to earn a pension & benefits just like everyone else.

HCBS

Nancy Spiegel provided an update on HCBS. DDS announced 2021-22 funding for Service Provider Compliance Activities. Provider must submit completed concepts to regional centers by November 12, 2021. Regional Centers must submit completed concepts and evaluations to the Department by December 10, 2021. The Department will then review the concepts and notify regional centers of selected concepts by February 25, 2022. Regional Centers and providers whose concepts were selected may enter into a contract agreement. Funding guidelines and forms can be found at <https://conta.cc/39UZEFs>.

Public Notice: Two Presentation

Nancy Spiegel provided information for the next Board of Trustees Public Meeting scheduled for November 16, 2021, 6:30 pm -8:30 pm. Information presentation will be on the proposed 2022 Performance Plan for approval. A review of the presentation and proposed Performance Plan available at <https://www.harborrc.org/pod/planning-2022>.

HRC will also present a report of the National Core Indicators (NCI) Child Family Survey Harbor Regional Center Data (2018-19). Available data review at <https://www.harborrc.org/post/national-core-indicators-study>. Public notice of meeting is required by Statue to be provided 30 day prior to meeting.

Steve Goclowski

Steve Goclowski shared information on the latest HRC grant for Crisis Intervention Service, known as START, the Center for Start Services. START is a research-based model for individuals with IDD and MF. Implemented in 1988 by Dr. Joan Beasley and her team. Designed to provide community-based crisis intervention. HRC will work on identifying providers via RFP process in the Spring 2022. Providers were encouraged to attend the Open House sessions via Zoom to learn about the services available. Dates are October 18, 2021 from 1:00 -2:30 pm and November 1, 2021 from 1:00-2:30 pm.

Additional information about services available <http://www.centerforstartservices.org/>

Heather reminded the committee that a survey was sent out to SLS and Residential providers. For those that haven't respond to please do so.

Service Provider Sharing:

Angie Rodriguez, SVS inquired about the LA County vaccination requirement when taking clients out in the community. They have had a few Families asking about this issue. Has HRC taken in consideration this mandate for those clients that are not vaccinated? Lindsey Stone, ICAN also had similar question when day program clients volunteer at the local garden and they require vaccination for everyone to attend. How to handle and balance for those clients that are not vaccinated but still want to continue to volunteer at the garden? Angie and Lindsey will appreciate HRC guidance on this issue. Heather Diaz, will follow up on obtaining a response to them from HRC team.

Adjournment

Next committee meeting is scheduled for December 7, 2021

Meeting adjourned at 10:58 a.m.

Public Comment

- We have arrived at the time on our agenda for public comment
- **Participants should now turn the “interpreter” at the bottom of your screen back to English.**
- We will call upon each person who has asked to address the Board through **CHAT**
- We request that you limit your comments to two minutes.





Contact Information

- **To contact the Executive Office/Para contactar la Oficina Ejecutiva:**
 - Patrick Ruppe, Executive Director (310) 543-0630
 - Jennifer Lauro, Executive Assistant (310) 543-0632
 - Jesus Jimenez, Bilingual Executive Office Team Assistant (310) 543-0606
- **To contact our Board, submit an email to/ Para ponerse en contacto con nuestra Junta, envíe un correo electrónico a: publicinput@harborrc.org**
- **To locate your Service Coordinator/Para localizar a su Coordinador de servicios:**
<https://www.harborrc.org/post/contact-our-staff>
- **To file a Complaint about HRC, or one of our employees or service providers, and/or to request a Fair Hearing, go to/Para presentar una queja sobre HRC, or uno de nuestros empleados o proveedores de servicios, y/o para solicitar una audiencia imparcial, vaya a:**
<https://www.harborrc.org/complaints>





November 16, 2021

CLOSED SESSION

- **Labor Contract Negotiations**
- **Pending Litigation**



Next Board Business Meeting - January 18, 2022

ADJOURNMENT

Thank You



HRC Board Meeting
November 16, 2021

